

ACAMS Northern NJ Chapter Survey

Wednesday, November 18, 2015

67

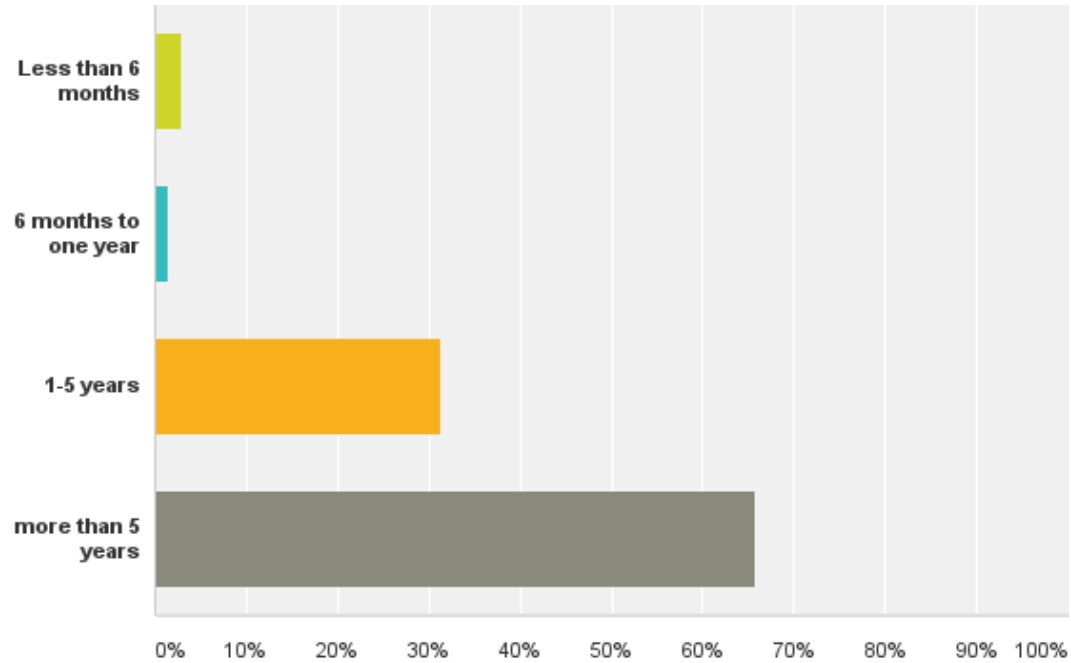
Total Responses

Date Created: Friday, September 18, 2015

Complete Responses: 67

Q1: How long have you been involved in AML?

Answered: 67 Skipped: 0



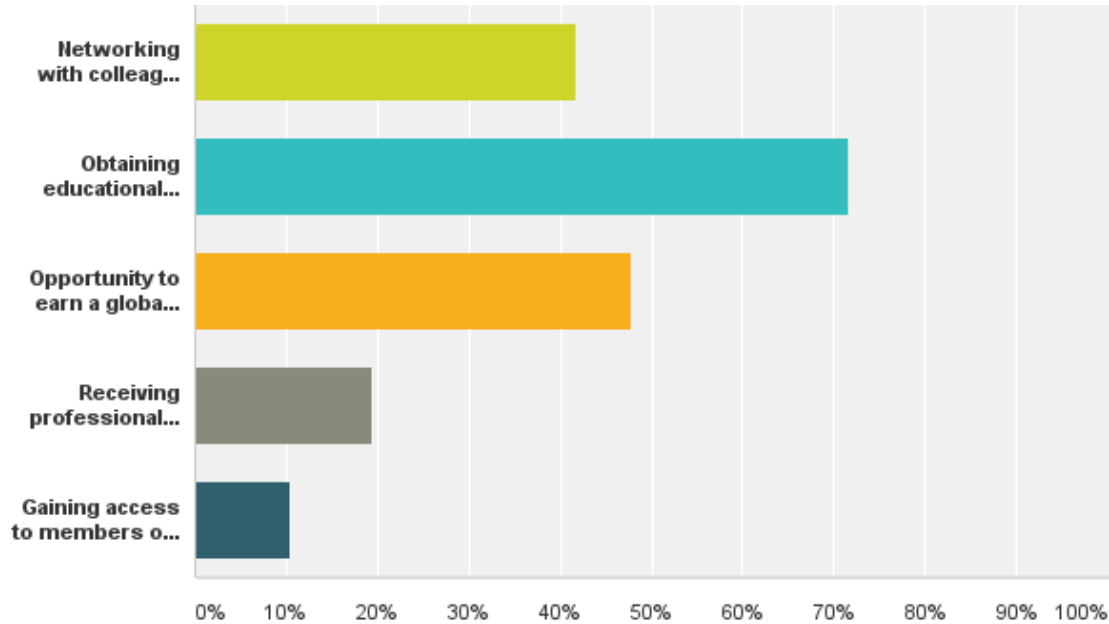
Q1: How long have you been involved in AML?

Answered: 67 Skipped: 0

Answer Choices	Responses
Less than 6 months	2.99% 2
6 months to one year	1.49% 1
1-5 years	31.34% 21
more than 5 years	65.67% 44
Total Respondents: 67	

Q2: What is your primary reason for joining ACAMS?

Answered: 67 Skipped: 0



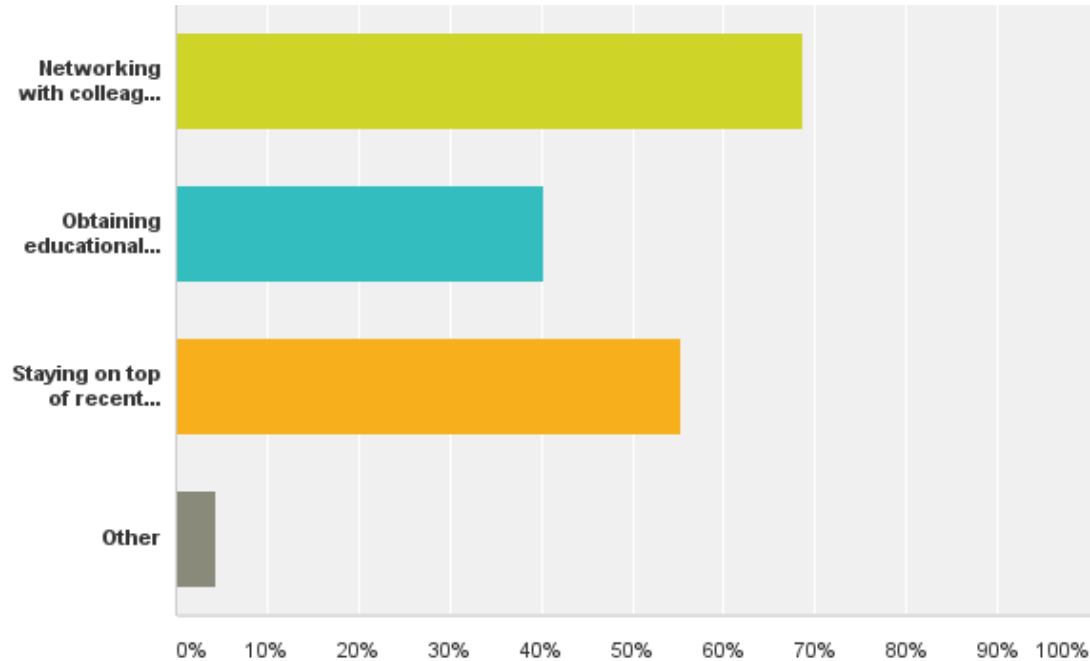
Q2: What is your primary reason for joining ACAMS?

Answered: 67 Skipped: 0

Answer Choices	Responses
Networking with colleagues and meet other AML professionals	41.79% 28
Obtaining educational opportunities and to stay on top of recent trends, actions and regulations that affect institution and/or clients	71.64% 48
Opportunity to earn a globally recognized certification	47.76% 32
Receiving professional literature	19.40% 13
Gaining access to members only services	10.45% 7
Total Respondents: 67	

Q3: What is your primary reason for joining a chapter?

Answered: 67 Skipped: 0



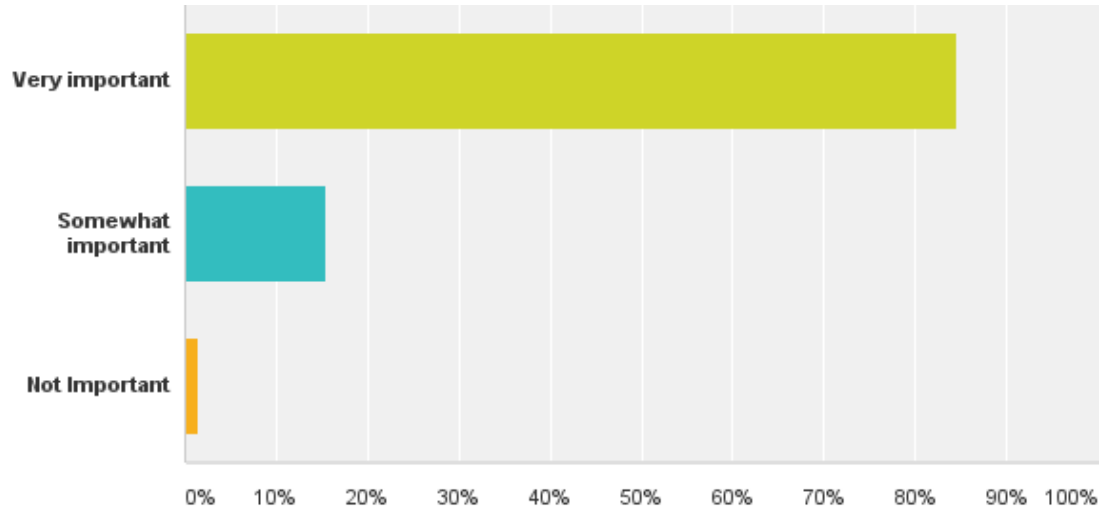
Q3: What is your primary reason for joining a chapter?

Answered: 67 Skipped: 0

Answer Choices	Responses
Networking with colleagues and meeting other AML professionals	68.66% 46
Obtaining educational opportunities	40.30% 27
Staying on top of recent trends, actions and regulations that affect institutions and/or clients	55.22% 37
Other	4.48% 3
Total Respondents: 67	

Q4: Chapter learning events

Answered: 65 Skipped: 2



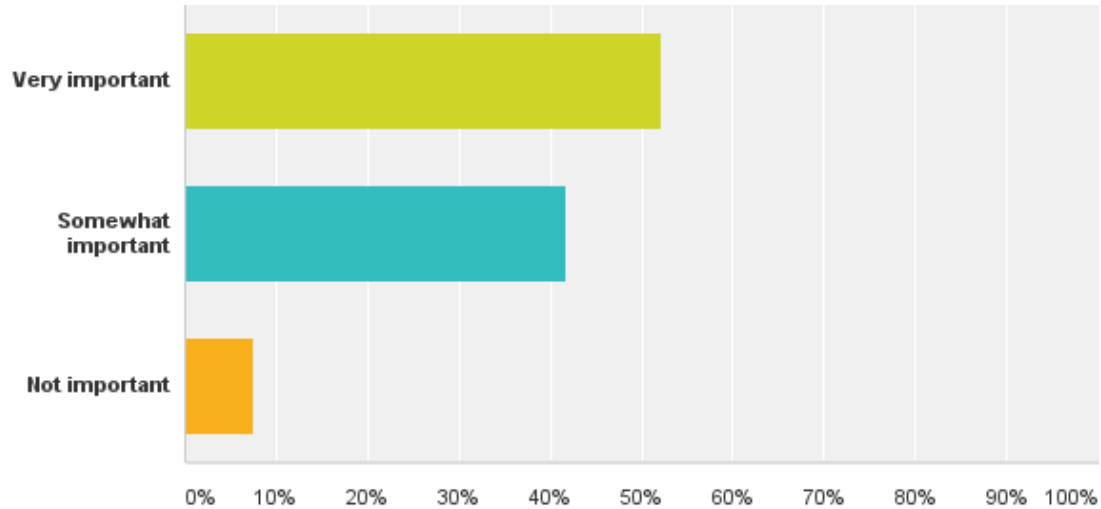
Q4: Chapter learning events

Answered: 65 Skipped: 2

Answer Choices	Responses
Very important	84.62% 55
Somewhat important	15.38% 10
Not Important	1.54% 1
Total Respondents: 65	

Q5: Chapter networking events

Answered: 67 Skipped: 0



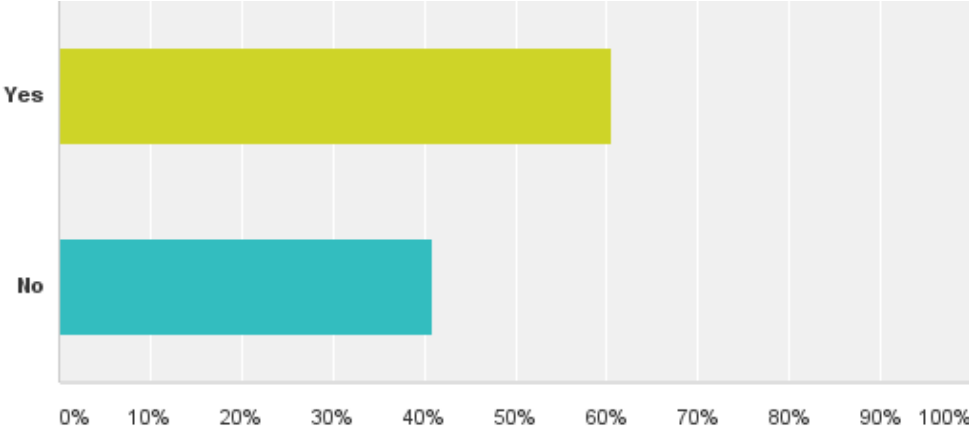
Q5: Chapter networking events

Answered: 67 Skipped: 0

Answer Choices	Responses	
Very important	52.24%	35
Somewhat important	41.79%	28
Not important	7.46%	5
Total Respondents: 67		

Q6: Have you attended a chapter networking event?

Answered: 66 Skipped: 1



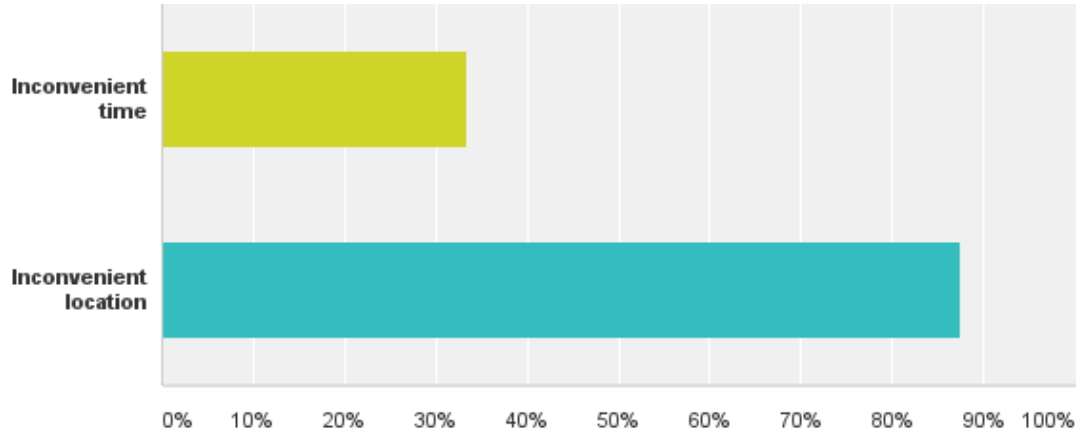
Q6: Have you attended a chapter networking event?

Answered: 66 Skipped: 1

Answer Choices	Responses	
Yes	60.61%	40
No	40.91%	27
Total Respondents: 66		

Q7: If not, Why not?

Answered: 24 Skipped: 43



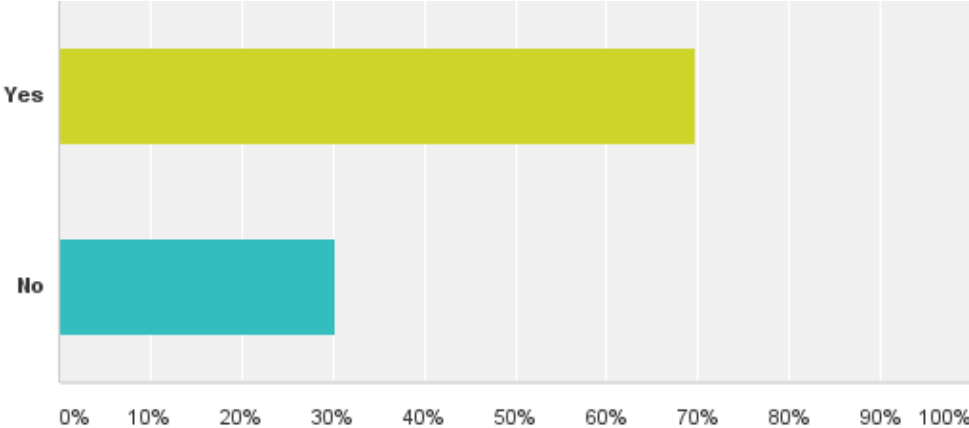
Q7: If not, Why not?

Answered: 24 Skipped: 43

Answer Choices	Responses
Inconvenient time	33.33% 8
Inconvenient location	87.50% 21
Total Respondents: 24	

Q8: Have you attended a chapter learning event?

Answered: 66 Skipped: 1



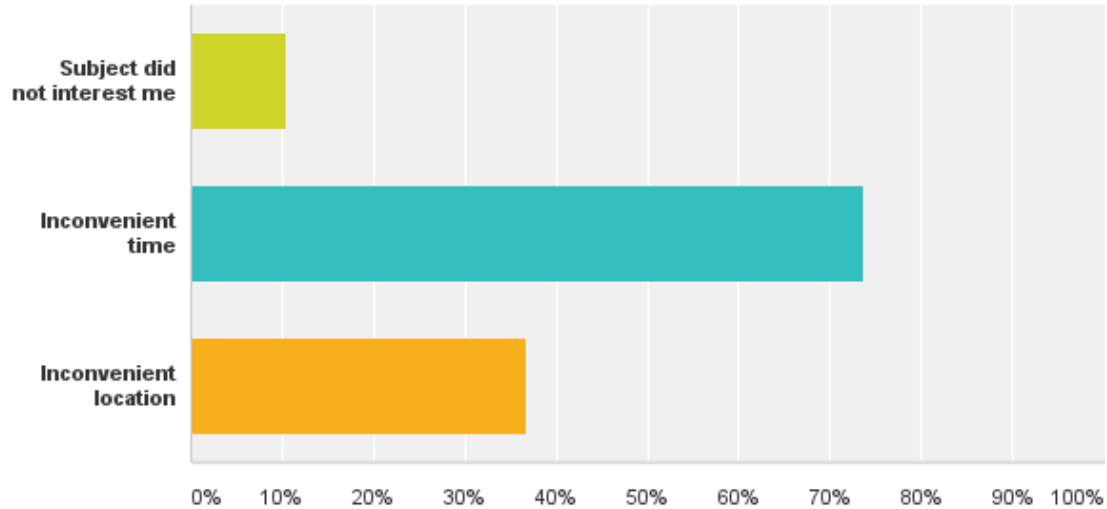
Q8: Have you attended a chapter learning event?

Answered: 66 Skipped: 1

Answer Choices	Responses	
Yes	69.70%	46
No	30.30%	20
Total Respondents: 66		

Q9: If not, Why not?

Answered: 19 Skipped: 48



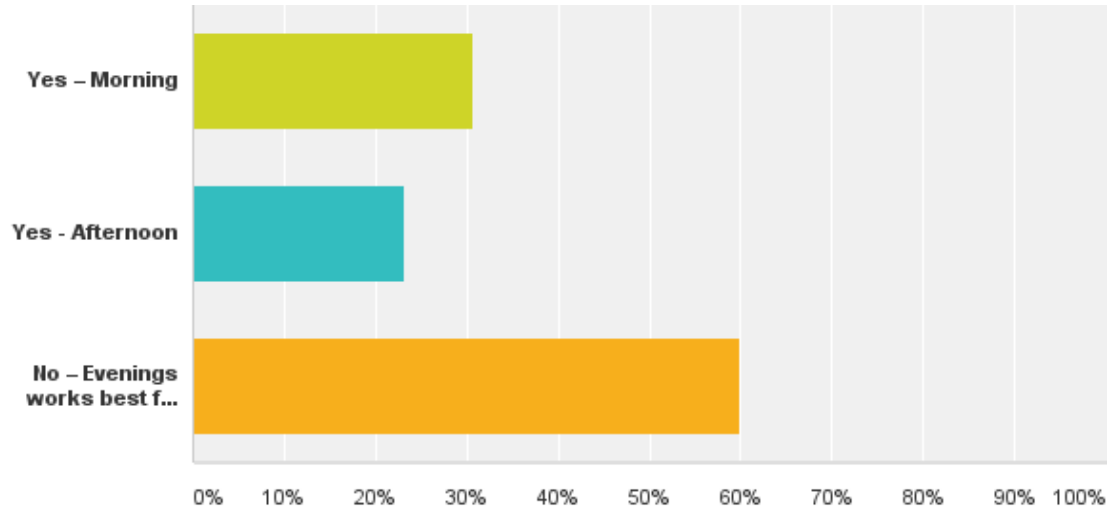
Q9: If not, Why not?

Answered: 19 Skipped: 48

Answer Choices	Responses
Subject did not interest me	10.53% 2
Inconvenient time	73.68% 14
Inconvenient location	36.84% 7
Total Respondents: 19	

Q10: Would you favor having learning events during work hours?

Answered: 65 Skipped: 2



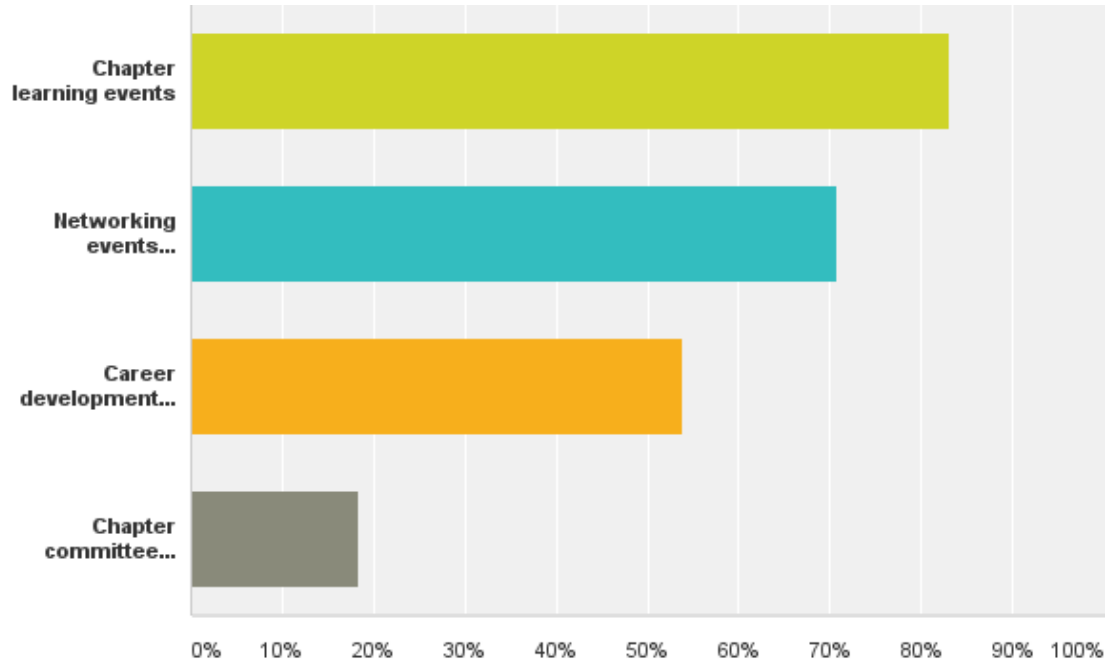
Q10: Would you favor having learning events during work hours?

Answered: 65 Skipped: 2

Answer Choices	Responses	
Yes – Morning	30.77%	20
Yes - Afternoon	23.08%	15
No – Evenings works best for me	60.00%	39
Total Respondents: 65		

Q11: What do you perceive as chapter benefits?

Answered: 65 Skipped: 2



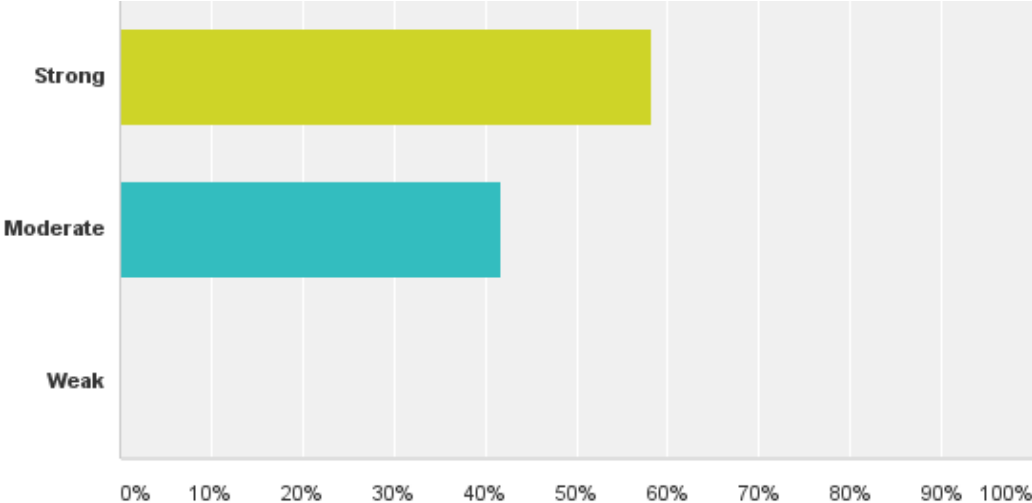
Q11: What do you perceive as chapter benefits?

Answered: 65 Skipped: 2

Answer Choices	Responses	
Chapter learning events	83.08%	54
Networking events opportunities	70.77%	46
Career development opportunities	53.85%	35
Chapter committee membership	18.46%	12
Total Respondents: 65		

Q12: New regulatory/FATF guidance

Answered: 67 Skipped: 0



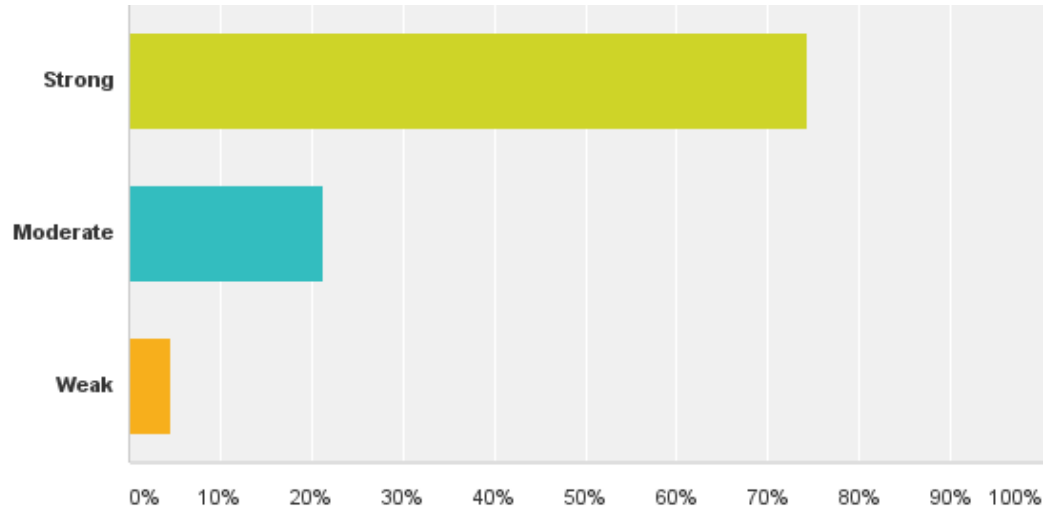
Q12: New regulatory/FATF guidance

Answered: 67 Skipped: 0

Answer Choices	Responses	
Strong	58.21%	39
Moderate	41.79%	28
Weak	0.00%	0
Total Respondents: 67		

Q13: Anti-terrorism

Answered: 66 Skipped: 1



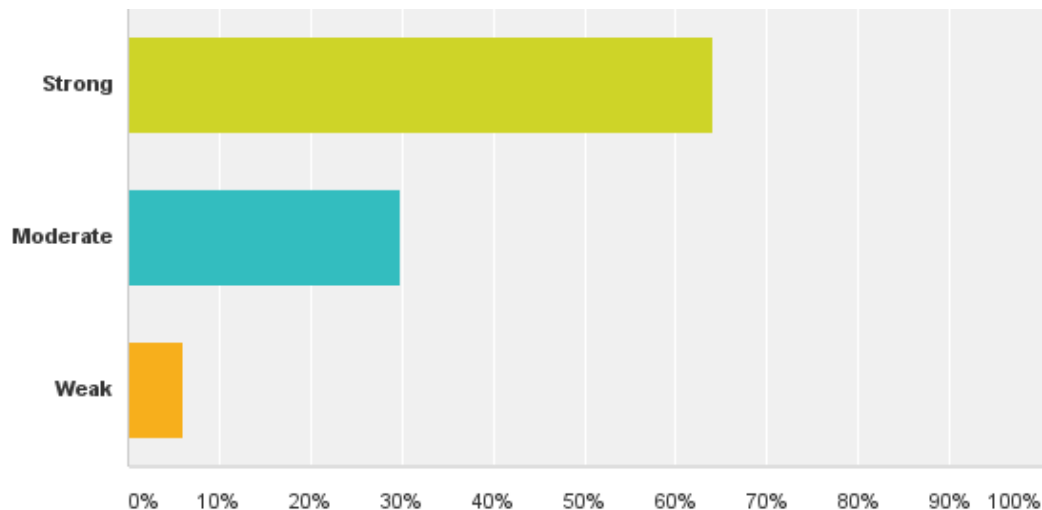
Q13: Anti-terrorism

Answered: 66 Skipped: 1

Answer Choices	Responses	
Strong	74.24%	49
Moderate	21.21%	14
Weak	4.55%	3
Total Respondents: 66		

Q14: Law enforcement/financial intelligence units (e.g., techniques and information sharing)

Answered: 67 Skipped: 0



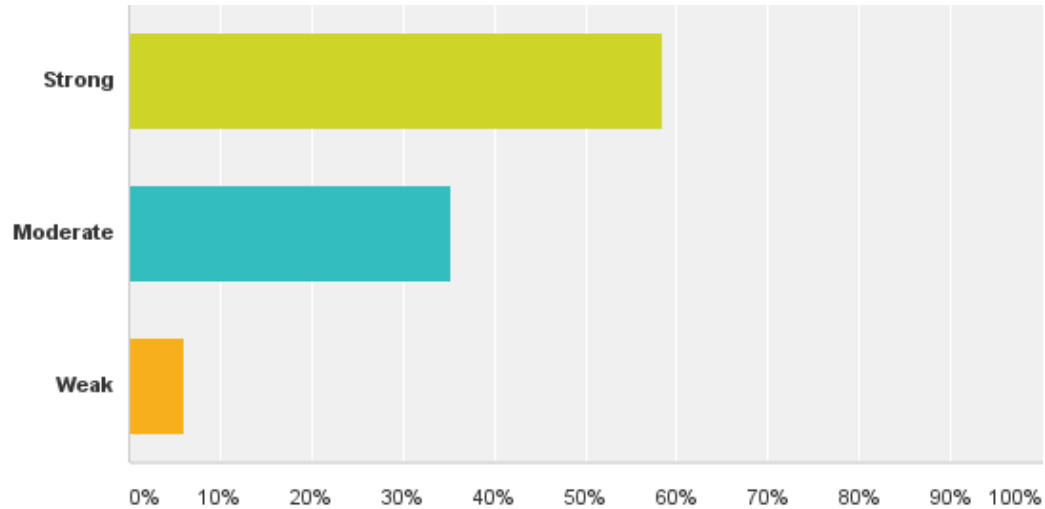
Q14: Law enforcement/financial intelligence units (e.g., techniques and information sharing)

Answered: 67 Skipped: 0

Answer Choices	Responses
Strong	64.18% 43
Moderate	29.85% 20
Weak	5.97% 4
Total Respondents: 67	

Q15: Risk assessments

Answered: 65 Skipped: 2



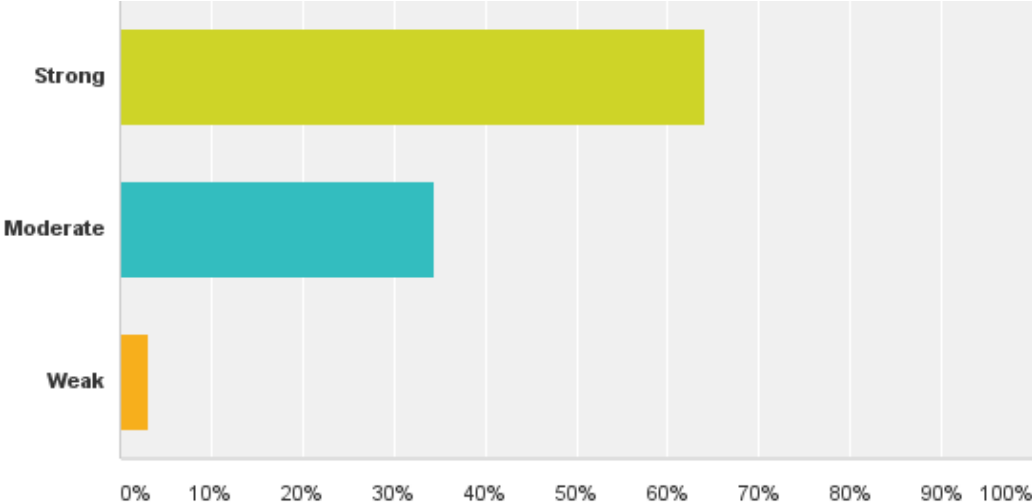
Q15: Risk assessments

Answered: 65 Skipped: 2

Answer Choices	Responses
Strong	58.46% 38
Moderate	35.38% 23
Weak	6.15% 4
Total Respondents: 65	

Q16: Improvements to compliance systems and programs

Answered: 64 Skipped: 3



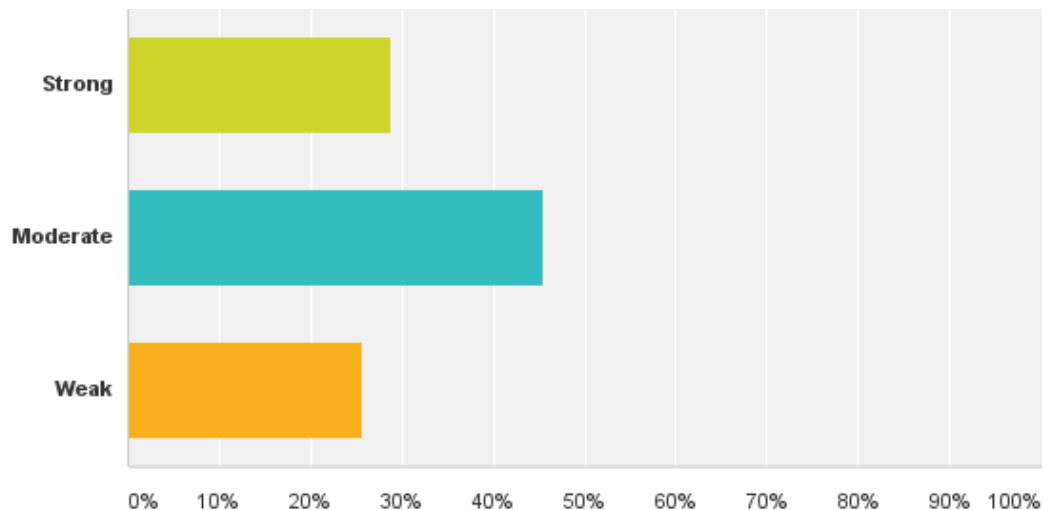
Q16: Improvements to compliance systems and programs

Answered: 64 Skipped: 3

Answer Choices	Responses
Strong	64.06% 41
Moderate	34.38% 22
Weak	3.13% 2
Total Respondents: 64	

Q17: Designated Non-Financial Businesses and Professionals (DNFBPs) as bank customers or reporting entities

Answered: 66 Skipped: 1



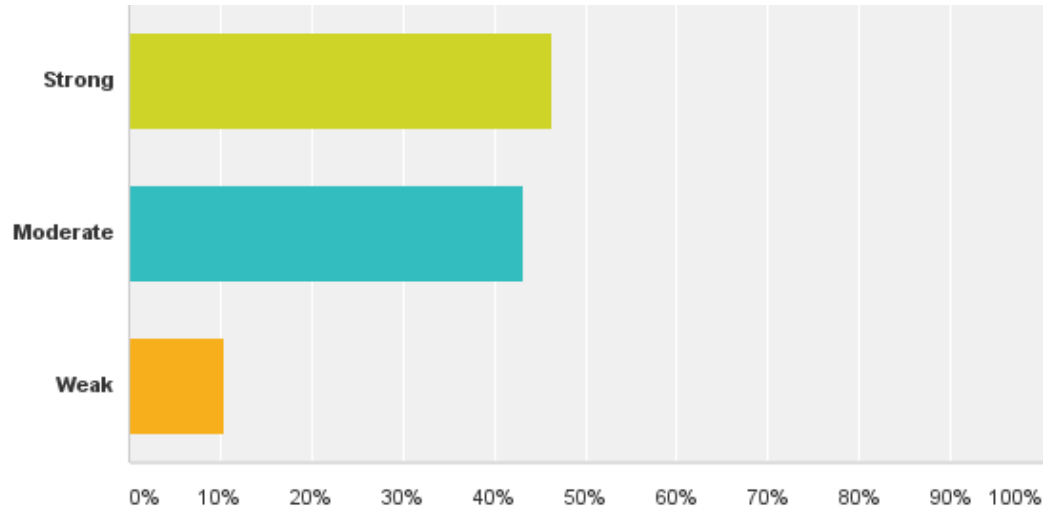
Q17: Designated Non-Financial Businesses and Professionals (DNFBPs) as bank customers or reporting entities

Answered: 66 Skipped: 1

Answer Choices	Responses	
Strong	28.79%	19
Moderate	45.45%	30
Weak	25.76%	17
Total Respondents: 66		

Q18: SAR filings

Answered: 67 Skipped: 0



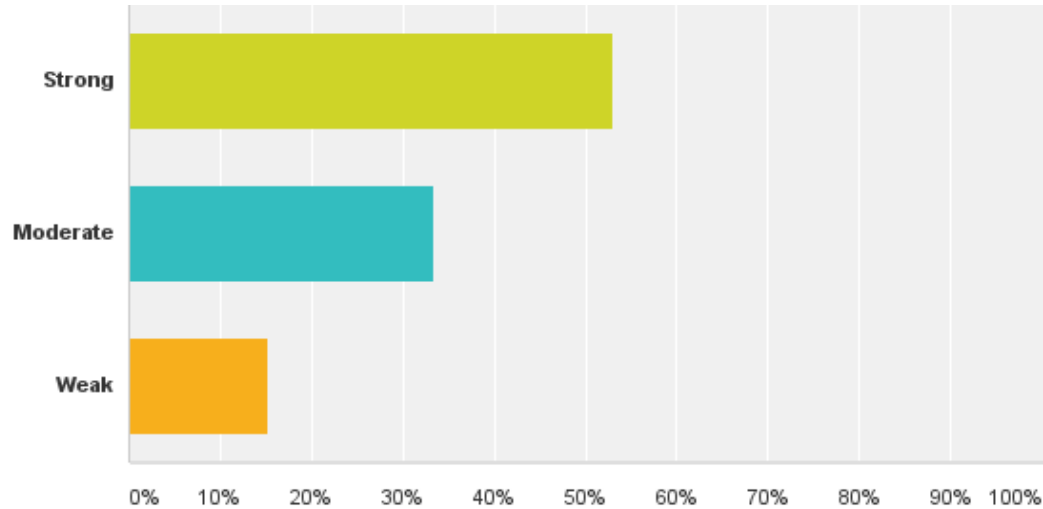
Q18: SAR filings

Answered: 67 Skipped: 0

Answer Choices	Responses
Strong	46.27% 31
Moderate	43.28% 29
Weak	10.45% 7
Total Respondents: 67	

Q19: Conducting AML audits and examinations

Answered: 66 Skipped: 1



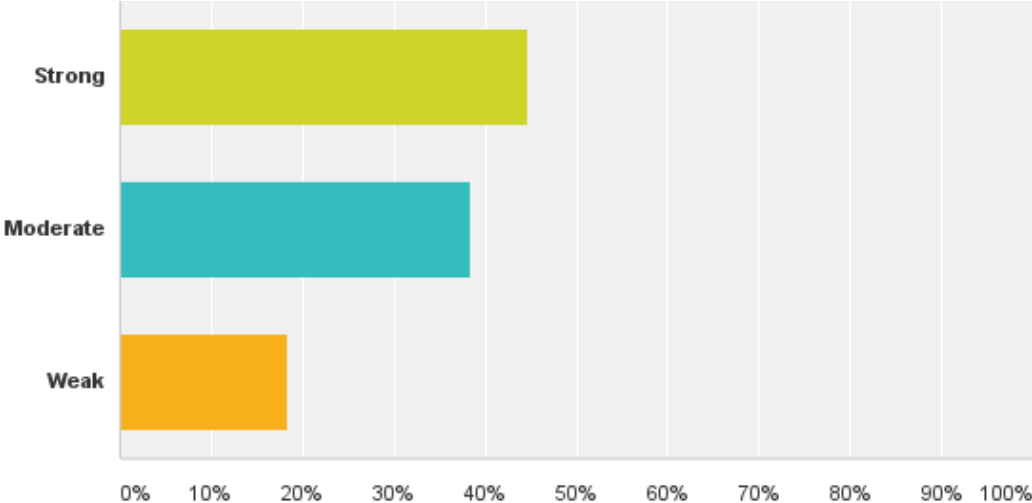
Q19: Conducting AML audits and examinations

Answered: 66 Skipped: 1

Answer Choices	Responses
Strong	53.03% 35
Moderate	33.33% 22
Weak	15.15% 10
Total Respondents: 66	

Q20: Being the subject of an audit or examination

Answered: 65 Skipped: 2



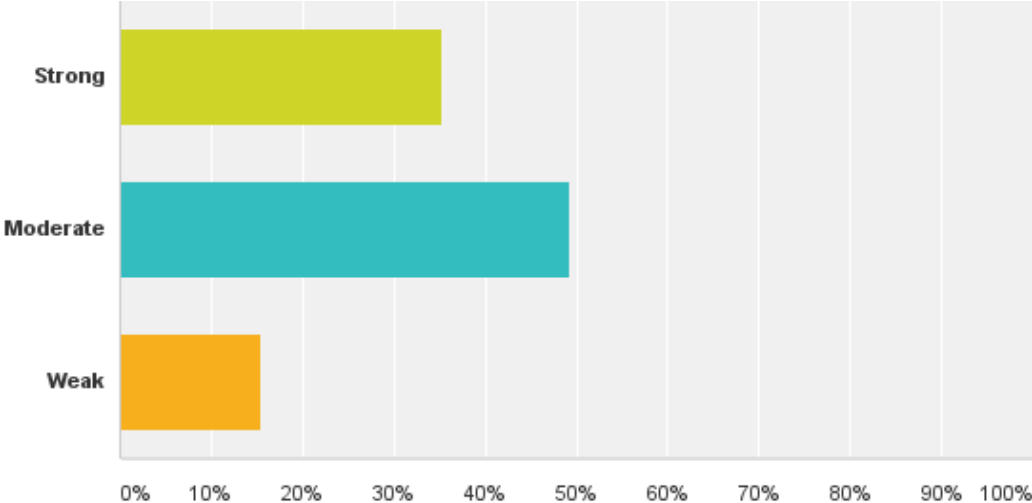
Q20: Being the subject of an audit or examination

Answered: 65 Skipped: 2

Answer Choices	Responses
Strong	44.62% 29
Moderate	38.46% 25
Weak	18.46% 12
Total Respondents: 65	

Q21: Risk assessments of countries for AML

Answered: 65 Skipped: 2



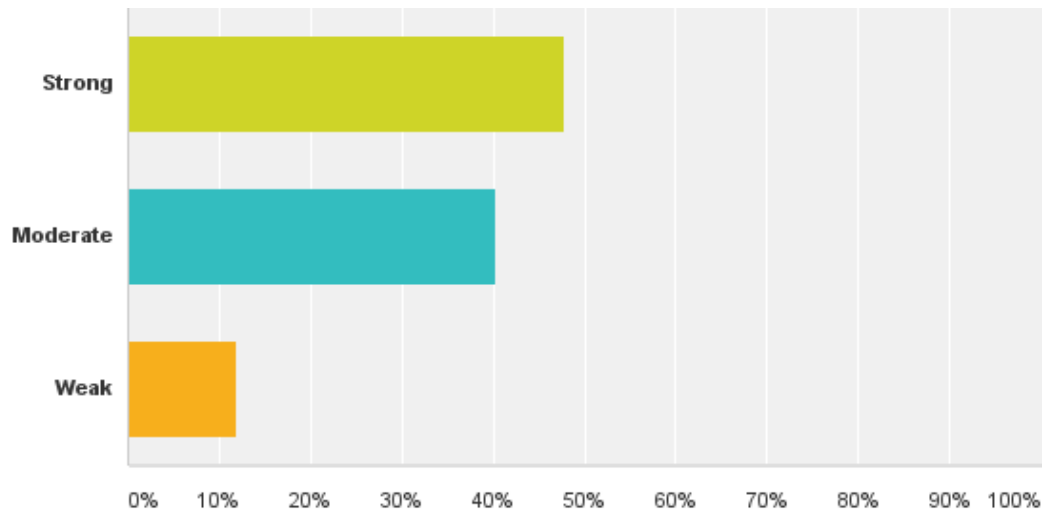
Q21: Risk assessments of countries for AML

Answered: 65 Skipped: 2

Answer Choices	Responses	
Strong	35.38%	23
Moderate	49.23%	32
Weak	15.38%	10
Total Respondents: 65		

Q22: AML and correspondent accounts

Answered: 67 Skipped: 0



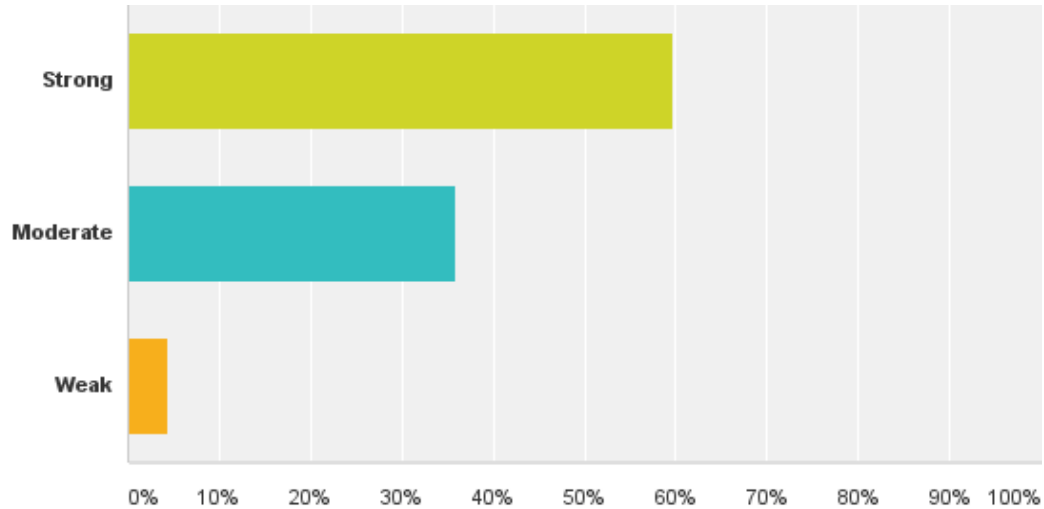
Q22: AML and correspondent accounts

Answered: 67 Skipped: 0

Answer Choices	Responses
Strong	47.76% 32
Moderate	40.30% 27
Weak	11.94% 8
Total Respondents: 67	

Q23: Fraud

Answered: 67 Skipped: 0



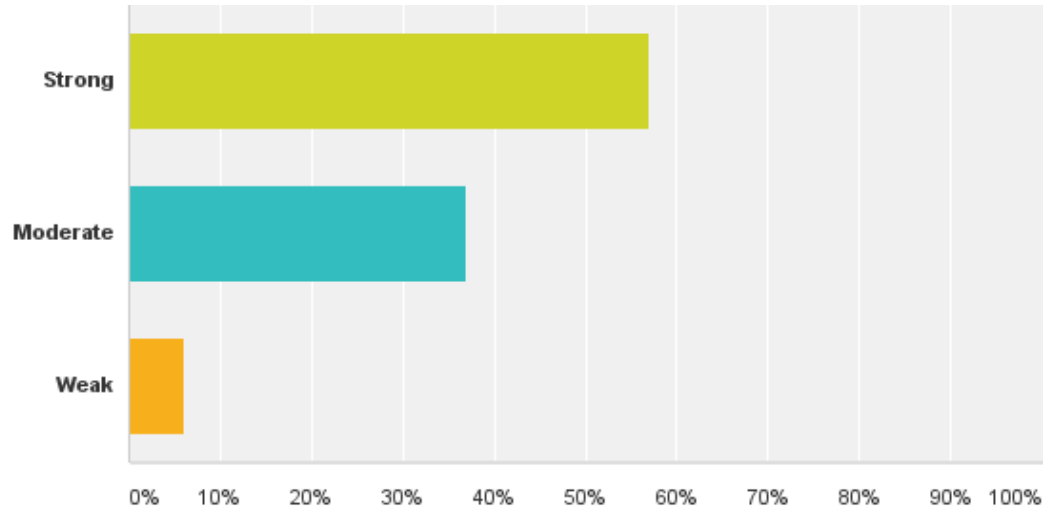
Q23: Fraud

Answered: 67 Skipped: 0

Answer Choices	Responses
Strong	59.70% 40
Moderate	35.82% 24
Weak	4.48% 3
Total Respondents: 67	

Q24: AML law enforcements actions

Answered: 65 Skipped: 2



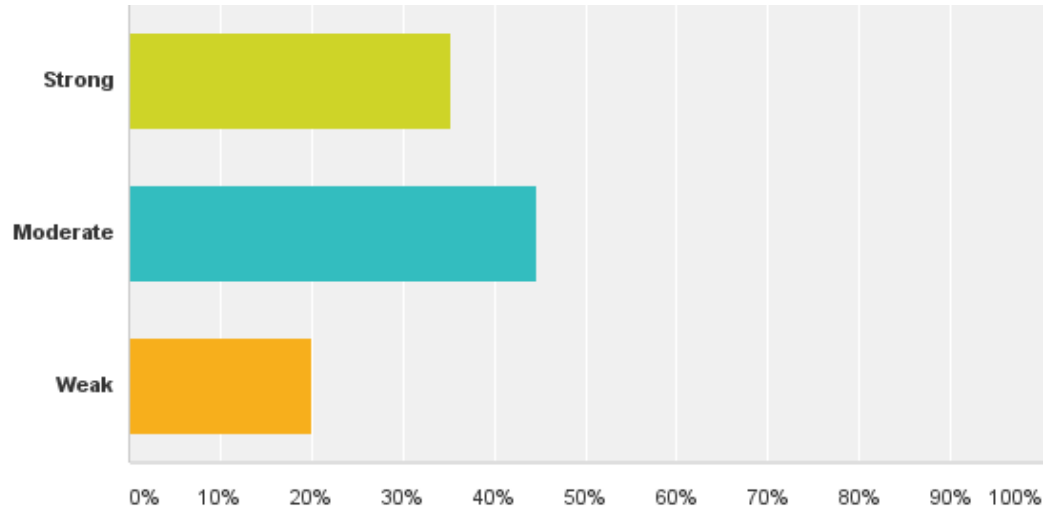
Q24: AML law enforcements actions

Answered: 65 Skipped: 2

Answer Choices	Responses
Strong	56.92% 37
Moderate	36.92% 24
Weak	6.15% 4
Total Respondents: 65	

Q25: Defending against a money laundering charge or legal action

Answered: 65 Skipped: 2



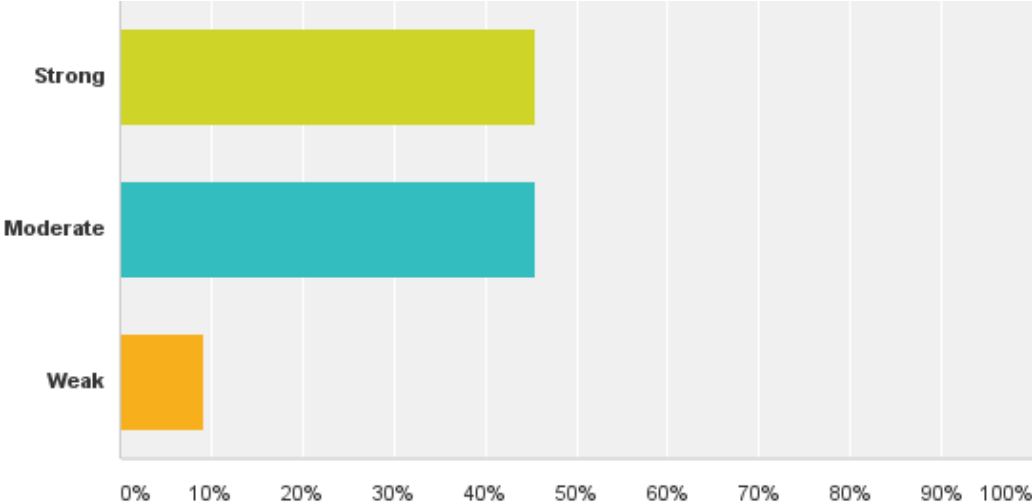
Q25: Defending against a money laundering charge or legal action

Answered: 65 Skipped: 2

Answer Choices	Responses
Strong	35.38% 23
Moderate	44.62% 29
Weak	20.00% 13
Total Respondents: 65	

Q26: New products/technology (e.g., stored value, mobile banking)

Answered: 66 Skipped: 1



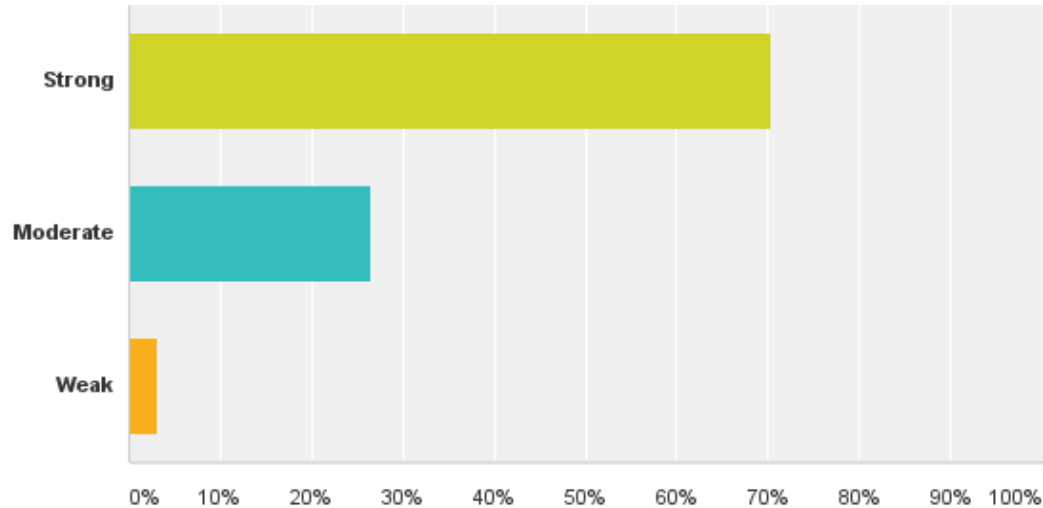
Q26: New products/technology (e.g., stored value, mobile banking)

Answered: 66 Skipped: 1

Answer Choices	Responses
Strong	45.45% 30
Moderate	45.45% 30
Weak	9.09% 6
Total Respondents: 66	

Q27: Customer due diligence, (e.g., PEPs, beneficial ownership, correspondent banking)

Answered: 64 Skipped: 3



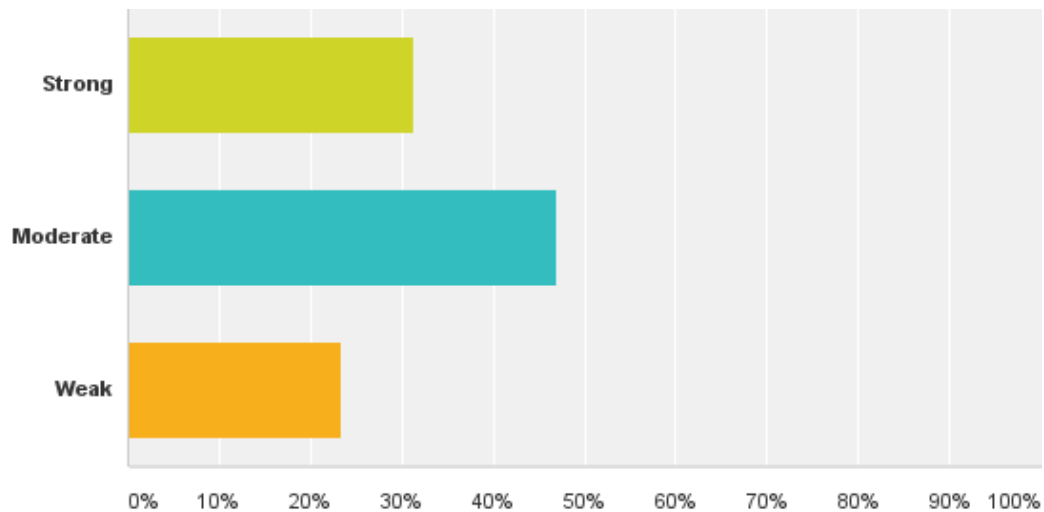
Q27: Customer due diligence, (e.g., PEPs, beneficial ownership, correspondent banking)

Answered: 64 Skipped: 3

Answer Choices	Responses
Strong	70.31% 45
Moderate	26.56% 17
Weak	3.13% 2
Total Respondents: 64	

Q28: AML and tax

Answered: 64 Skipped: 3



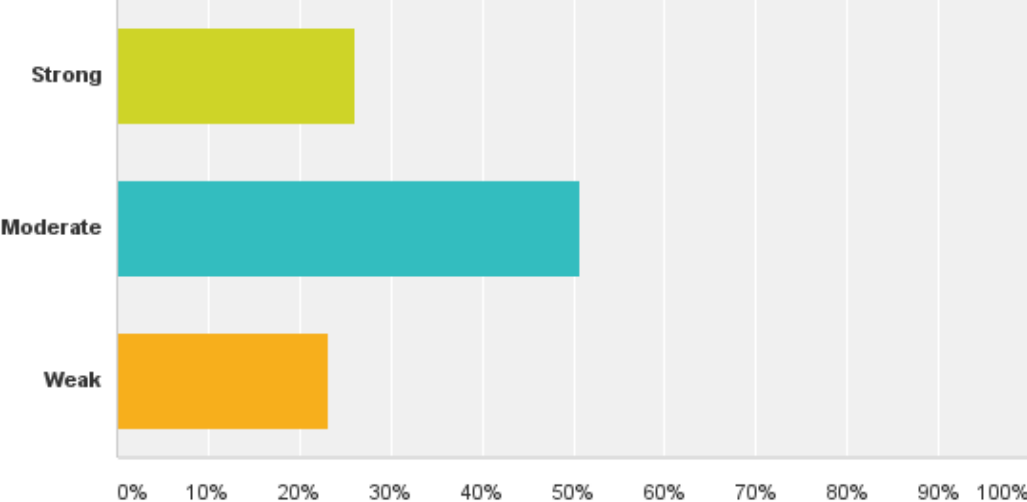
Q28: AML and tax

Answered: 64 Skipped: 3

Answer Choices	Responses	
Strong	31.25%	20
Moderate	46.88%	30
Weak	23.44%	15
Total Respondents: 64		

Q29: Mortgage and real estate AML

Answered: 65 Skipped: 2



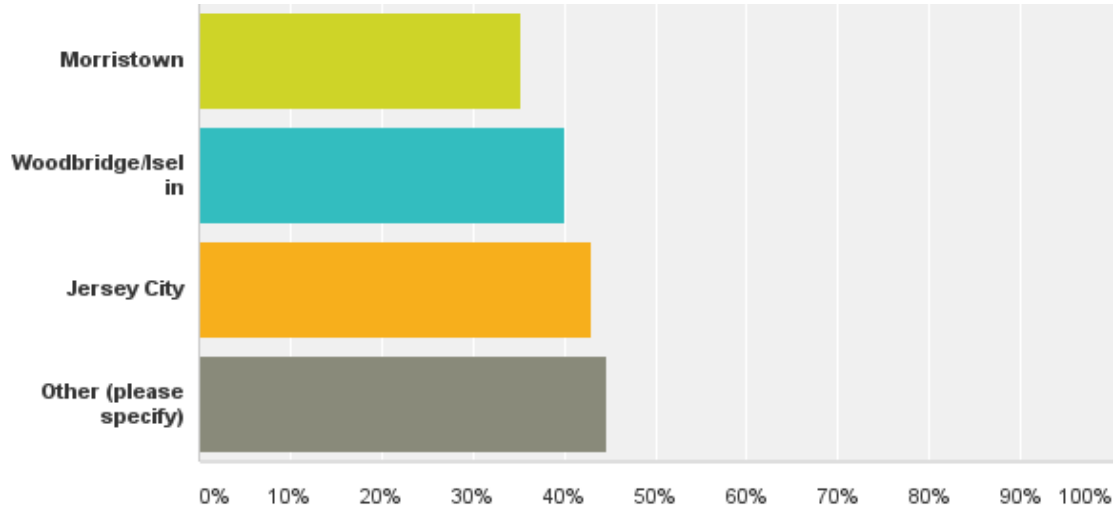
Q29: Mortgage and real estate AML

Answered: 65 Skipped: 2

Answer Choices	Responses	
Strong	26.15%	17
Moderate	50.77%	33
Weak	23.08%	15
Total Respondents: 65		

Q30: Which of the following potential meeting locations are convenient for you to attend?

Answered: 65 Skipped: 2



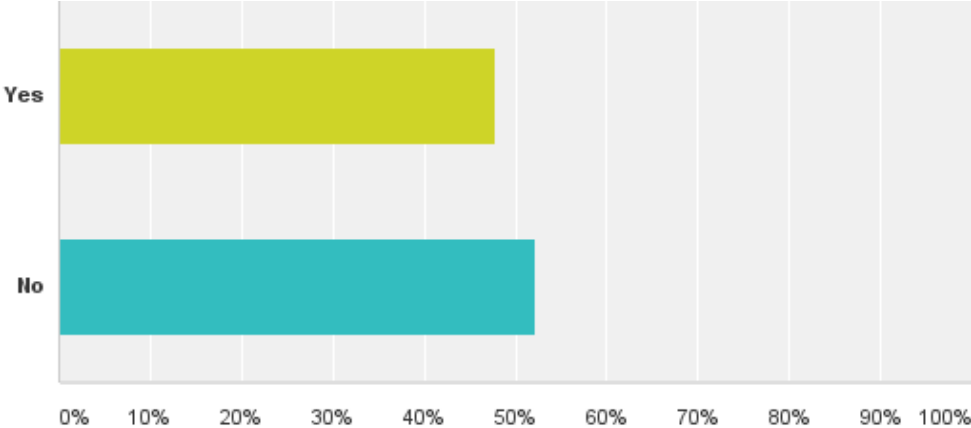
Q30: Which of the following potential meeting locations are convenient for you to attend?

Answered: 65 Skipped: 2

Answer Choices	Responses
Morristown	35.38% 23
Woodbridge/Iselin	40.00% 26
Jersey City	43.08% 28
Other (please specify)	44.62% 29
Total Respondents: 65	

Q31: Does being convenient to NJ Transit/PATH make a difference?

Answered: 67 Skipped: 0



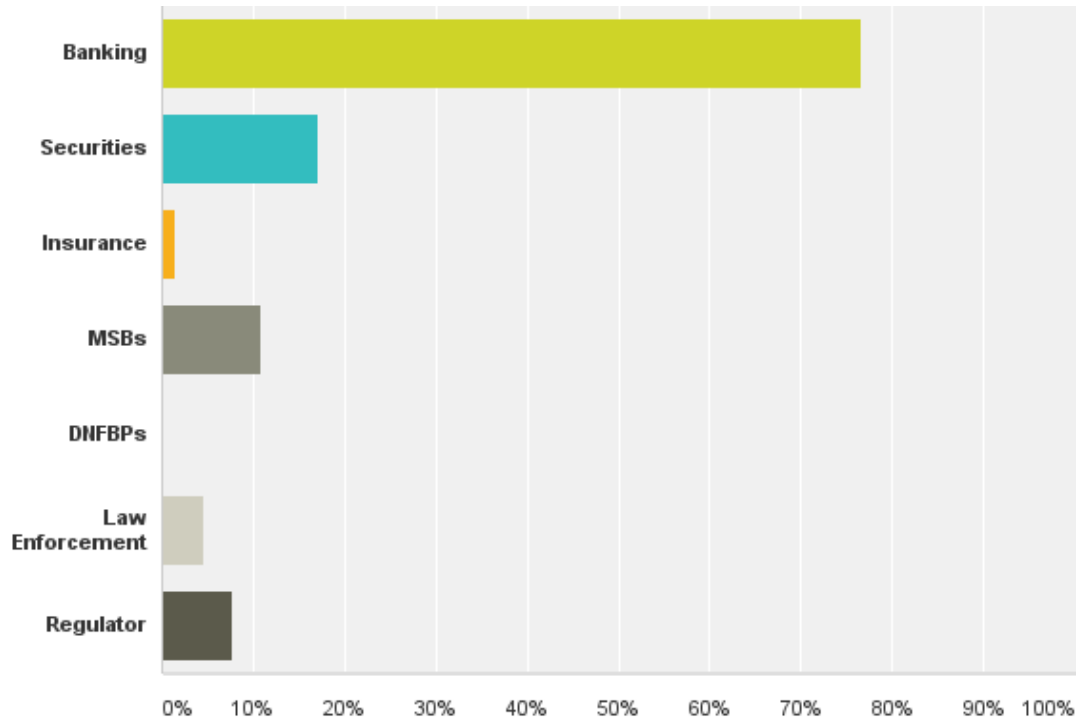
Q31: Does being convenient to NJ Transit/PATH make a difference?

Answered: 67 Skipped: 0

Answer Choices	Responses	
Yes	47.76%	32
No	52.24%	35
Total Respondents: 67		

Q32: With which financial services industry/government entity are you most closely associated?

Answered: 64 Skipped: 3



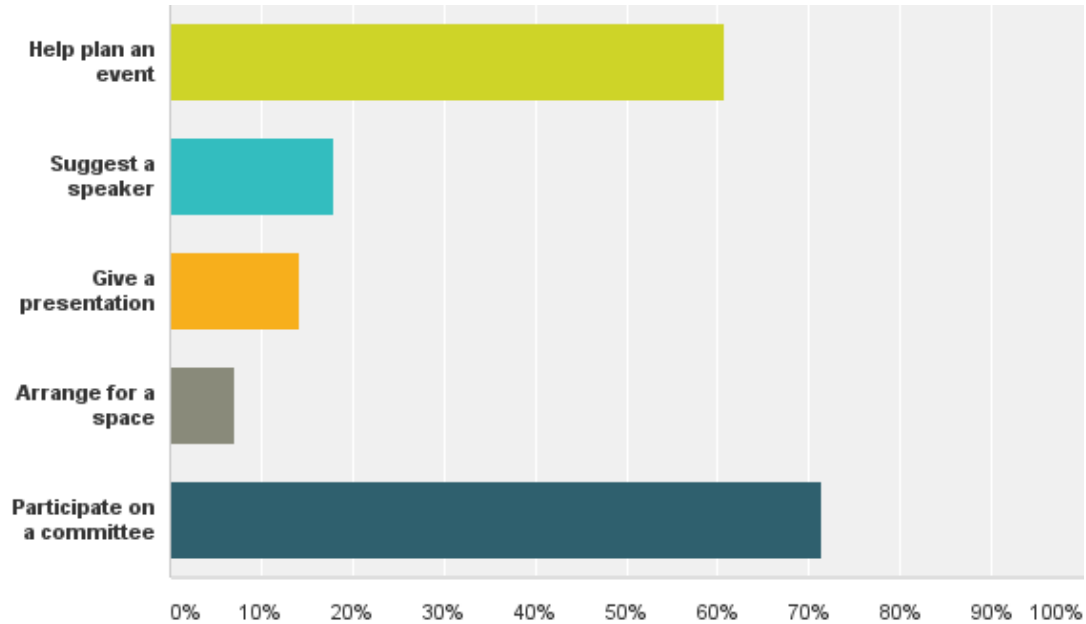
Q32: With which financial services industry/government entity are you most closely associated?

Answered: 64 Skipped: 3

Answer Choices	Responses
Banking	76.56% 49
Securities	17.19% 11
Insurance	1.56% 1
MSBs	10.94% 7
DNFBPs	0.00% 0
Law Enforcement	4.69% 3
Regulator	7.81% 5
Total Respondents: 64	

Q34: Are you interested in volunteering your time to support chapter events?

Answered: 28 Skipped: 39



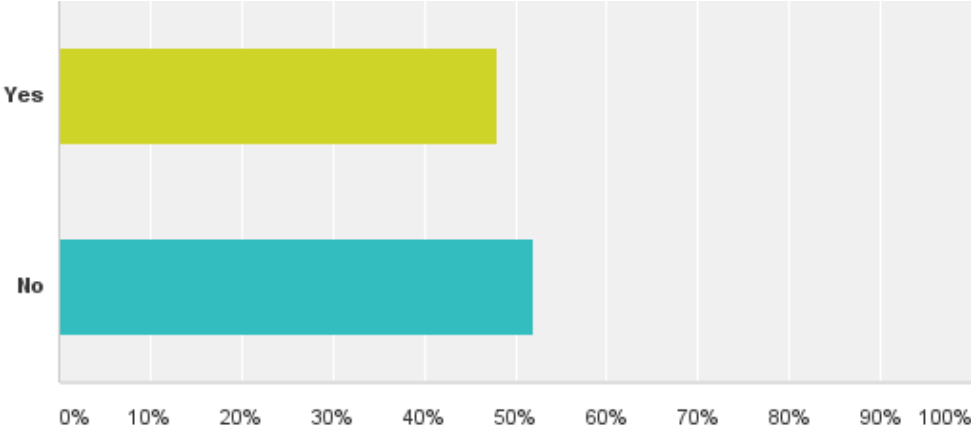
Q34: Are you interested in volunteering your time to support chapter events?

Answered: 28 Skipped: 39

Answer Choices	Responses
Help plan an event	60.71% 17
Suggest a speaker	17.86% 5
Give a presentation	14.29% 4
Arrange for a space	7.14% 2
Participate on a committee	71.43% 20
Total Respondents: 28	

Q36: May we contact you to follow-up on your response?

Answered: 52 Skipped: 15



Q36: May we contact you to follow-up on your response?

Answered: 52 Skipped: 15

Answer Choices	Responses
Yes	48.08% 25
No	51.92% 27
Total Respondents: 52	