



AML Symposium Agenda

Tuesday, June 07, 2016

7:30 am to 8:30 am <i>North Patio</i>	Continental Breakfast
8:30 am to 8:45 am <i>Crystal Room 1 & 3</i>	Welcome and Introduction of John Byrne
8:45 am to 10:15 am <i>Crystal Room 1 & 3</i>	<p>Regulator Panel: Global Regulatory Warming <i>Find out what's heating up the regulatory environment and what's on the horizon.</i></p> <p>Moderated by John Byrne, ACAMS.</p> <p>Speakers: Dolores Gavcus (CA DBO), Myke Rost (FDIC), Jeffrey Pratt (FRB), Judith Bambush (NCUA), Lindsey Leposke (OCC)</p>
10:15 am to 10:30 am	Break
10:30 am to 12:00 pm <i>Crystal Room 1 & 3</i>	<p>Law Enforcement Panel: Operation What's New? <i>What's evolving in the world of financial and terrorist related crimes from a federal and local law enforcement perspective.</i></p> <p>Moderated by Vikas Agarwal, Principal, PwC.</p> <p>Speakers: FBI, IRS: CI, DEA, and Long Beach Police Department</p>
12:15 pm to 1:15 pm <i>Spyglass Room 1 & 2</i>	<p>Lunch and InfraGard Presentation FBI Special Agent Frederick J. Simon of InfraGard</p>
1:30 pm to 2:45 pm <i>Crystal Room 2</i> <i>Crystal Room 4</i> <i>Crystal Room 1 & 3</i>	<p>Concurrent Sessions:</p> <ol style="list-style-type: none"> 1) Cyber Crime Data Breaches & More: <i>Financial institution vulnerabilities and identifying the emerging fraud risks' Red Flags.</i> Speakers: Michelle Yohannes, Joint Regional Intelligence Center (JRIC) 2) SAR Wars: <i>The conflict between law enforcement needs and examiner's expectations.</i> Speakers: IRS: CI and Brienne Bryson, Banker's Toolbox. 3) CDD/EDD/Beneficial Ownership: <i>Stepping up your Customer Due Diligence Program. Recent enforcement actions have repeatedly named deficiencies in CDD/EDD and risk rating practices. Plus, thanks to the Panama Papers; regulators, law enforcement and even the public are more concerned than ever with the lack of transparency in shell and offshore companies making identification of beneficial ownership more critical than ever. We will discuss best CDD practices for building a strong comprehensive BSA/AML program.</i> Speakers: Maleka Ali, Banker's Toolbox and Victor Cardona, Plaza Bank
2:45 pm to 3:15 pm <i>North Patio</i>	Break and Snacks
3:15 pm to 4:30 pm <i>Crystal Room 1 & 3</i>	<p>General Session: Help! I Need Somebody! <i>How to conduct a BSA/AML Staff Assessment that gets the approval of your regulators.</i></p> <p>Speakers: Mia Lee, California United Bank and Brienne Bryson, Banker's Toolbox</p>
4:30 pm to 4:45 pm <i>Crystal Room 1 & 3</i>	Closing Remarks and Adjourn

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