Navigating the Evolving Era of Compliance Challenges and Global Regulation Requirements

CHONG TEE ONG
Deputy Managing Director
Monetary Authority of Singapore

RICK MCDONELL
Executive Director
ACAMS
Former Executive Secretary
Financial Action Task Force (FATF)

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KEY TAKEAWAYS

1. Evaluate recent compliance trends, expectations and requirements to ensure your compliance program is current with global developments

2. Understand common tax evasion typologies to establish tax oversight best practices

3. Incorporate emerging trends, common typologies and red flags into AML/CTF programs to combat potential TF methods

4. Learn strategic approaches to navigating the complex shifts in sanction rules, particularly involving Iran, Iraq and Myanmar

WHO ATTENDS

Professionals responsible for:
- AML operations and compliance
- Reporting and SAR/STR filing
- AML risk management
- Customer due diligence
- Transaction monitoring and system tuning
- AML audits
- Investigations
- AML training
- Sanctions management
- New product compliance
- Managing third party payment processors
- Law enforcement
- Regulatory oversight

From these sectors:
- Accounting Firms
- Banks
- Casinos
- Consultants
- Credit Unions
- Financial Services Providers
- Insurance Companies
- Law Enforcement Agencies
- Law Firms
- Money Services Businesses
- Regulatory Agencies
- Securities/Investment Firms
- Software/Technology Providers
- Universities
- Virtual Currency Exchangers

“The conference facilitates the exchange of knowledge and helps you contribute more in your organization to prevent money laundering, terrorist financing and financial crimes. The session format, venue, speakers and topics are excellent.”

Md. Hashem Chowdhury
Additional Managing Director, Chief Operating Officer and AML Compliance Officer
Mutual Trust Bank, Bangladesh
**SUNDAY, 23 APRIL 2017**

**CAMS EXAMINATION PREPARATION SEMINAR**

<table>
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<tr>
<th>Time</th>
<th>Session</th>
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<tbody>
<tr>
<td>08.00 - 09.00</td>
<td>CAMS Examination Preparation Seminar Check-in (Separate registration required.)</td>
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| 09.00 - 17.00 | CAMS Examination Preparation Seminar  
Led by CAMS-certified instructors, the CAMS Examination Preparation Seminar reviews critical information in an easy-to-follow format that prepares you to sit for the CAMS Examination. Benefit from this interactive study session as AML experts walk you through core content areas and practice questions. This seminar is worth 6 CAMS credits. Download the candidate handbook. This seminar is free for conference delegates who purchase the CAMS6 certification package or are in the process of certifying and will be sitting for the CAMS6 Examination. Please note that seating capacity is extremely limited and participation must be reserved in advance. To purchase the CAMS certification package, or to add-on this seminar to your conference registration, please contact your ACAMS account representative or our Service Team on asia@acams.org. |

**MONDAY, 24 APRIL 2017**

**MAIN CONFERENCE DAY ONE**

<table>
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<tr>
<th>Time</th>
<th>Session</th>
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| 08.00 - 18.05 | Registration Desk Open  
Lanyard courtesy of FinScan |
| 08.00 - 19.05 | Exhibit Area Open |
| 08.00 - 09.00 | Morning Tea in Exhibit Area |
| 09.00 - 09.15 | Welcome Remarks |
| 09.15 - 09.35 | Keynote Address  
Chong Tee Ong, Deputy Managing Director, Monetary Authority of Singapore |
| 09.35 - 11.05 | Regulatory Roundtable: Reviewing Evolving APAC Compliance Trends, Expectations and Requirements  
- Evaluating the latest FATF guidance on correspondent banking to mitigate crime risks  
- Understanding recent APAC and global compliance efforts including rule changes that impact AML coverage  
- Analyzing possible changes to US laws and regulations under the Trump administration  
- Implementing tools for automating, tracking and managing regulatory changes within your compliance program |
| 11.05 - 11.35 | Networking and Refreshment Break in Exhibit Area |
| 11.35 - 12.45 | Automating and Streamlining the Regulatory Examination Management Process  
- Detailing actual experiences and practical strategies for effective and efficient management when dealing with AML/CTF regulatory examinations  
- Reviewing steps to streamline the process including pre-examination preparation, conduct during the exam and follow-up steps post-exam  
- Formulating a structured remedial action plan to manage and track key examination findings or issues |
| 12.45 - 14.00 | Networking Luncheon |

**CONCURRENT SESSIONS**

<table>
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<th>Time</th>
<th>Session</th>
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| 14.00 - 15.00 | Overcoming Sanctions Challenges While Maintaining Business Relationships  
- Reviewing continued risks with Iran, Iraq, Myanmar and other sanctioned nations to keep models current and ensure compliance with OFAC requirements  
- Creating internal oversight and alert systems to ensure immediate responses to global sanctions changes  
- Maintaining a risk-based approach to sanctions management that aligns with regulatory expectations and sectoral restrictions |
| 15.00 - 15.30 | Networking and Refreshment Break in Exhibit Area |
15.30 – 16.45
**FINANCIAL CRIME TRENDS**

Incorporating Compliance Strategies to Detect and Combat Complex Terrorist Financing Methods

- Identifying and incorporating emerging trends, common typologies and red flags into AML/CTF programs
- Integrating social media monitoring into your AML practices to aid identification of potential terrorist support or sympathies
- Creating protocols for investigating charities, potential black market transactions and possible front companies

**AMl KNOWLEDGE**

*Topic to be announced online.*
*Presented by Accuity*

16.45 – 16.50
Move to next session

16.50 – 18.05
**FINANCIAL CRIME TRENDS**

Managing the Risks of De-Risking and Financial Inclusion

- Examining the Hong Kong Monetary Authority’s (HKMA) guidelines on adopting a risk-based approach including risk differentiation and proportionality
- Creating new risk assessment protocols to balance inclusion with effective risk management
- Formalizing institutional risk tolerance policies to ensure consistency in account exiting decisions

**AMl KNOWLEDGE**

*Topic to be announced online.*
*Presented by Bureau van Dijk*

18.05 – 19.05
Networking Reception in Exhibit Area

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**TUESDAY, 25 APRIL 2017**

**MAIN CONFERENCE DAY TWO**

08.00 – 18.10
Registration Desk Open  Lanyard courtesy of FinScan

08.00 – 15.35
Exhibit Area Open

08.00 – 09.00
Morning Tea in Exhibit Area

09.00 – 09.05
Opening Remarks

09.05 – 09.30
Keynote Address
  Rick McDonell, Executive Director, ACAMS, and Former Executive Secretary, Financial Action Task Force (FATF)

09.30 – 10.30
Strengthening Your Compliance Program to Combat Emerging Risks of Trade-Based Money Laundering

- Reviewing recent case studies to identify the latest, real-life TBML trends, typologies and red flags
- Establishing robust and trade-specific due diligence processes to respond to and manage TBML risks
- Bolstering transaction monitoring techniques to detect complex trade-based activities and schemes including cross-border money movements and third-party payments
- Deploying advanced analytical and statistical techniques and processes including international trade/country profiling and unit price/weight analyses

10.30 – 11.00
Networking and Refreshment Break in Exhibit Area

11.00 – 12.00
Uncovering the Latest Cybercrime Threats, Trends and Typologies

- Reviewing recent APAC and global cybercrime cases to identify common practices and methods of cybercriminals
- Incorporating effective measures to enhance risk monitoring and develop post-incident contingency plans
- Training staff on common cybercrime typologies to ensure suspected breaches are promptly escalated and investigated

12.00 – 13.15
Networking Luncheon
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<th>Time</th>
<th>Session</th>
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| 13.15 – 14.15| **FINANCIAL CRIME TRENDS**<br>Technology Innovation: Understanding the Impact of New Products to Existing Controls  
- Understanding the unique compliance issues associated with emerging fintech and regtech businesses  
- Developing effective due diligence procedures to mitigate risks and maintain a robust compliance program  
- Auditing AML programs of fintech and regtech clients to ensure compliance with APAC regulatory and institutional requirements |
| 14.15 – 14.20| Move to next session                                                                          |
| 14.20 – 15.20| **FINANCIAL CRIME TRENDS**<br>Updating AML Models to Meet APAC Ultimate Beneficial Ownership (UBO) Standards  
- Reviewing current APAC, US and EU jurisdictional requirements to aid UBO and ensure compliance with regulatory obligations  
- Utilizing enhanced techniques to investigate cross-jurisdiction conflicts and formulate policy resolutions  
- Leveraging global information sharing and publicly available databases to aid UBO reviews of foreign entities and assign risk ratings |
| 14.20 – 15.50| Networking and Refreshment Break in Exhibit Area                                              |
| 15.20 – 16.35| **AML KNOWLEDGE**<br>Topic to be announced online.  
Sponsored by Pitney Bowes |
| 15.50 – 16.35| **FINANCIAL CRIME TRENDS**<br>Tax Evasion: Incorporating Investigation and Compliance Strategies into Your Institution’s AML Framework  
- Examining varied asset hiding strategies to monitor, screen, identify and protect against common tax evasion typologies  
- Assessing existing data management, information systems, management support and training procedures to ensure alignment with current risks and evolving regulatory trends  
- Analyzing recent cases to discern avoidable errors and establish tax oversight best practices |
| 16.35 – 17.50| **Examining Recent AML Cases for Critical Lessons for Your Institution**  
- Charting corruption typologies and cross-border transfer risks identified in recent corruption-related cases  
- Examining Malaysia’s 1MDB case for practical lessons including managing sovereign wealth funds and monitoring politically exposed persons (PEPs)  
- Analyzing the Bangladesh Bank Cyberheist for practical strategies including developing strengthened KYE/data access policies and adapting monitoring models for cybercrime typologies |
| 17.50 – 17.55| Closing Remarks and Conference Takeaways                                                      |

Program and speakers are subject to change.

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*According to the 2015 Compensation Guide for AML/CFT/FCP Professionals

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**AML & Financial Crime**

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<th>Non-Member</th>
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<th>Non-Member</th>
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<tr>
<td><strong>SAVE US$50</strong></td>
<td>Discounted Price until 16 March</td>
<td>US$1,145</td>
<td>US$1,295</td>
<td>US$1,195</td>
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**Government Discounted Fee until 16 March 2017**

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<tr>
<td><strong>SAVE US$50</strong></td>
<td>Fee Structure</td>
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<tr>
<td>Non-Member</td>
<td>US$1,095</td>
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A block of rooms at the Marina Bay Sands have been reserved at a discounted rate for this event. The last day to reserve rooms at the group rate is **27 March 2017** or until the room block is sold out. Due to the high demand for rooms, we recommend that you book your room reservation as early as possible.

For complete reservation information, visit [www.acamsconferences.org/apac/#venue](http://www.acamsconferences.org/apac/#venue)

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