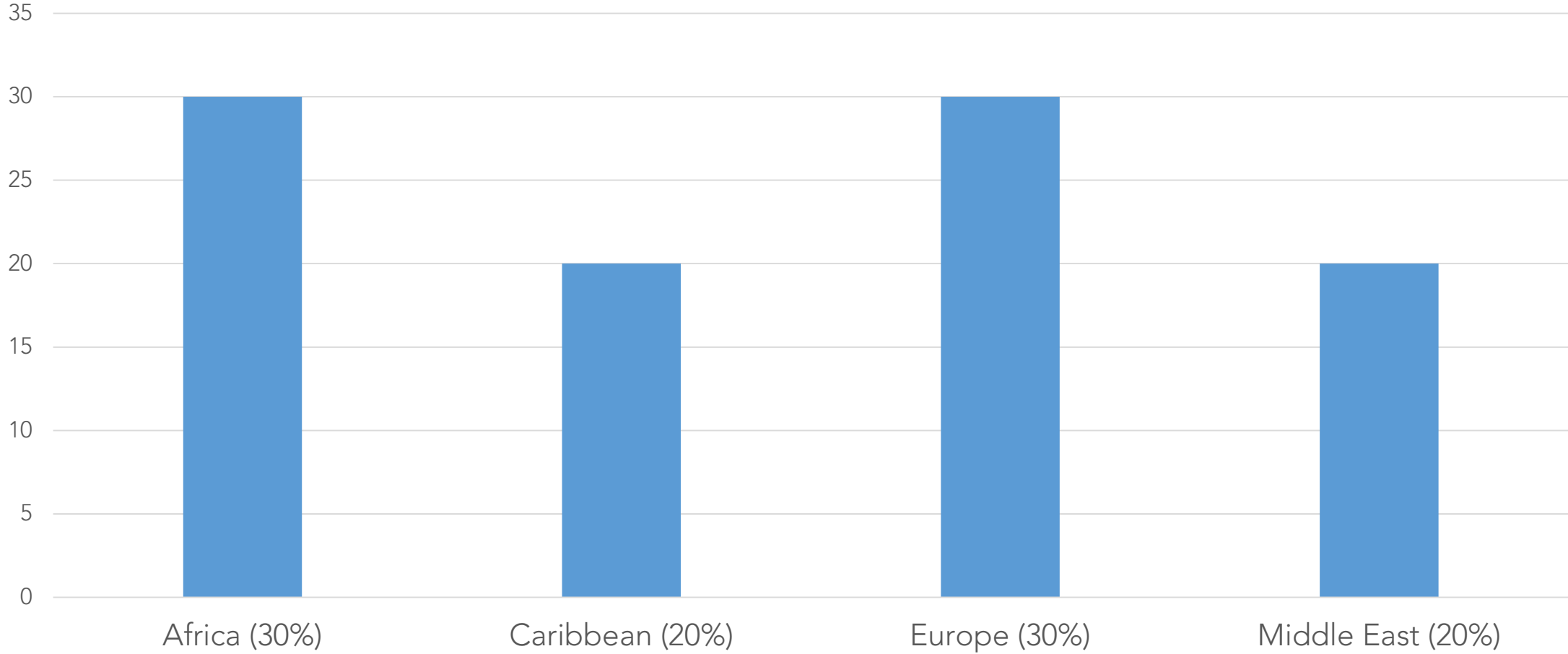




Polling questions – results

Correspondent Banking (CB)

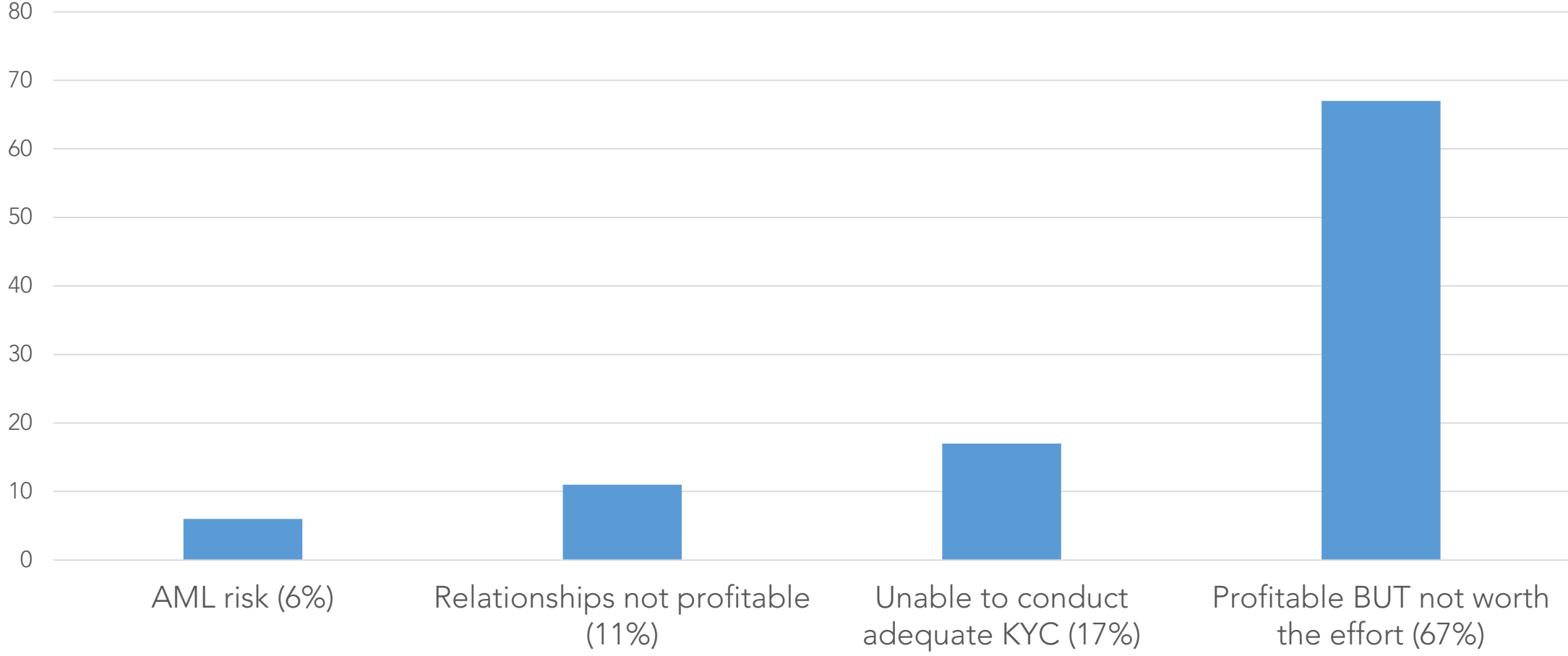
Which region has seen the greatest withdrawal of CB relationships?



■ Decline of Correspondent Banking by region (by percentage)



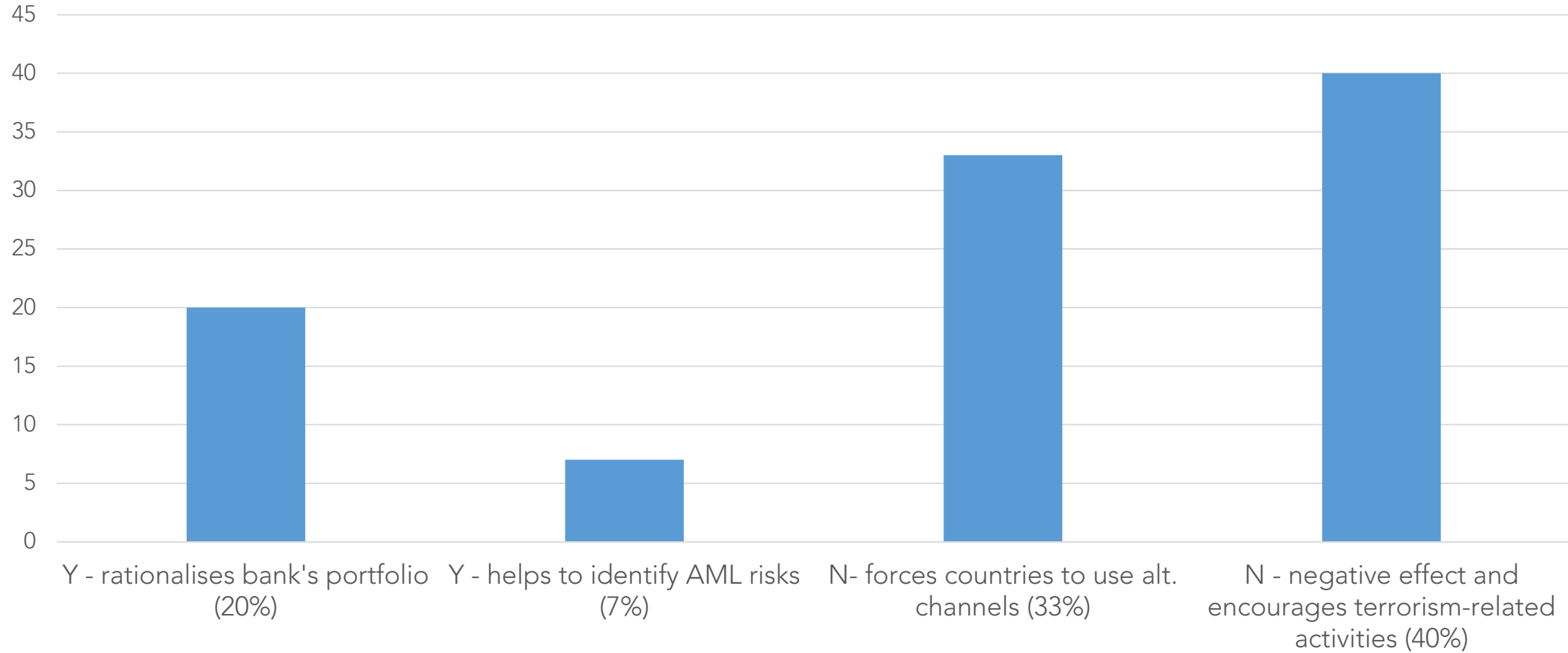
What is the main reason for CB relationship withdrawal?



Reason(s) for withdrawal of CB relationships (by percentage)




Is the trend of withdrawing from CB relationships a good thing?



■ Withdrawal from CB relationships - good? (by percentage)

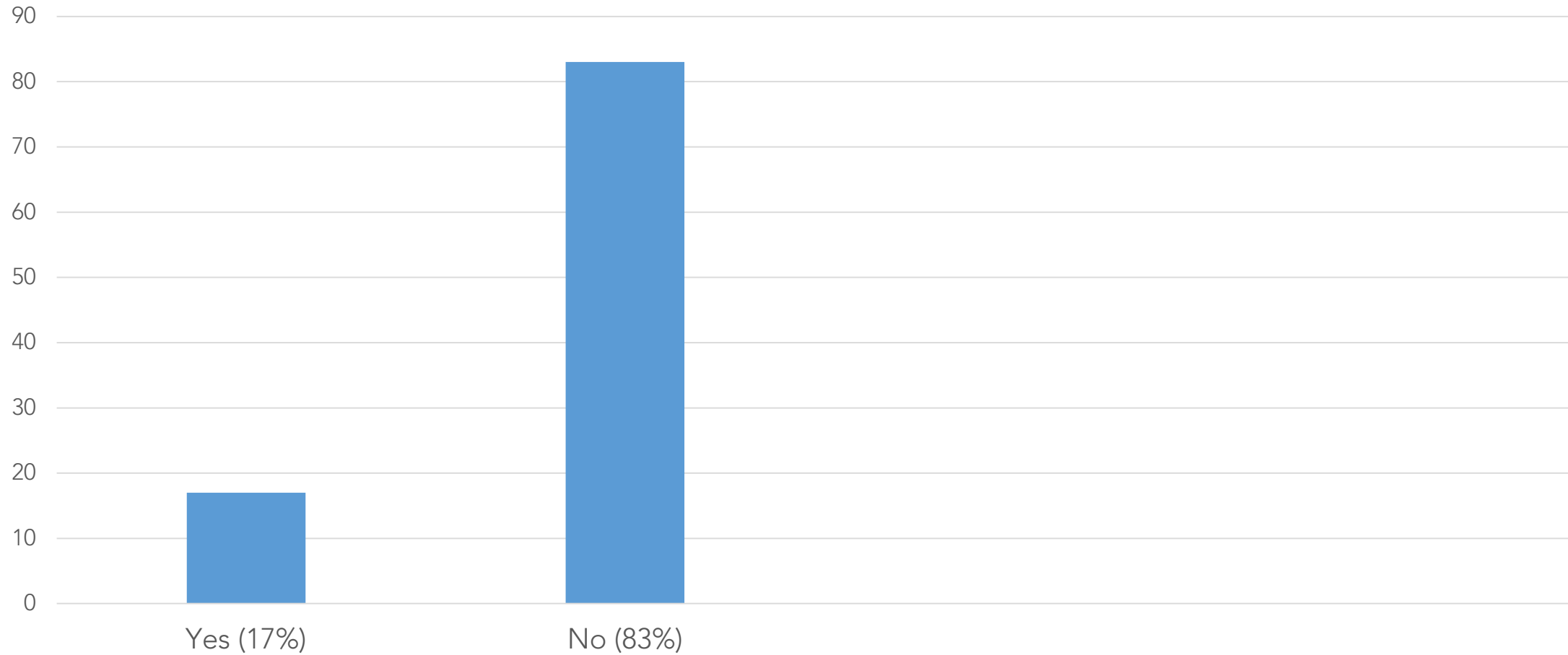




Polling questions – results

Transaction Monitoring (TM)

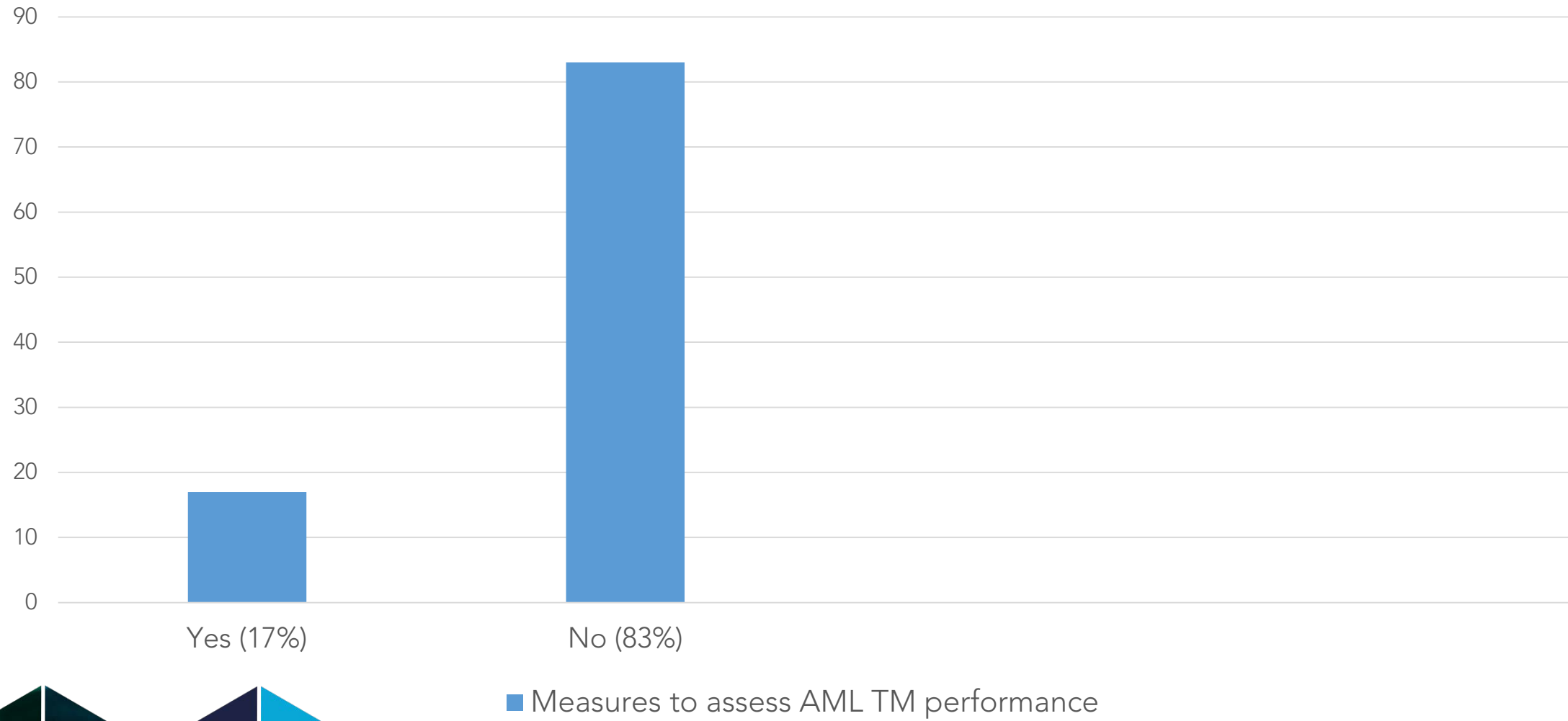
Do you have effective measures in place that allow you to understand the performance of your existing TM system?



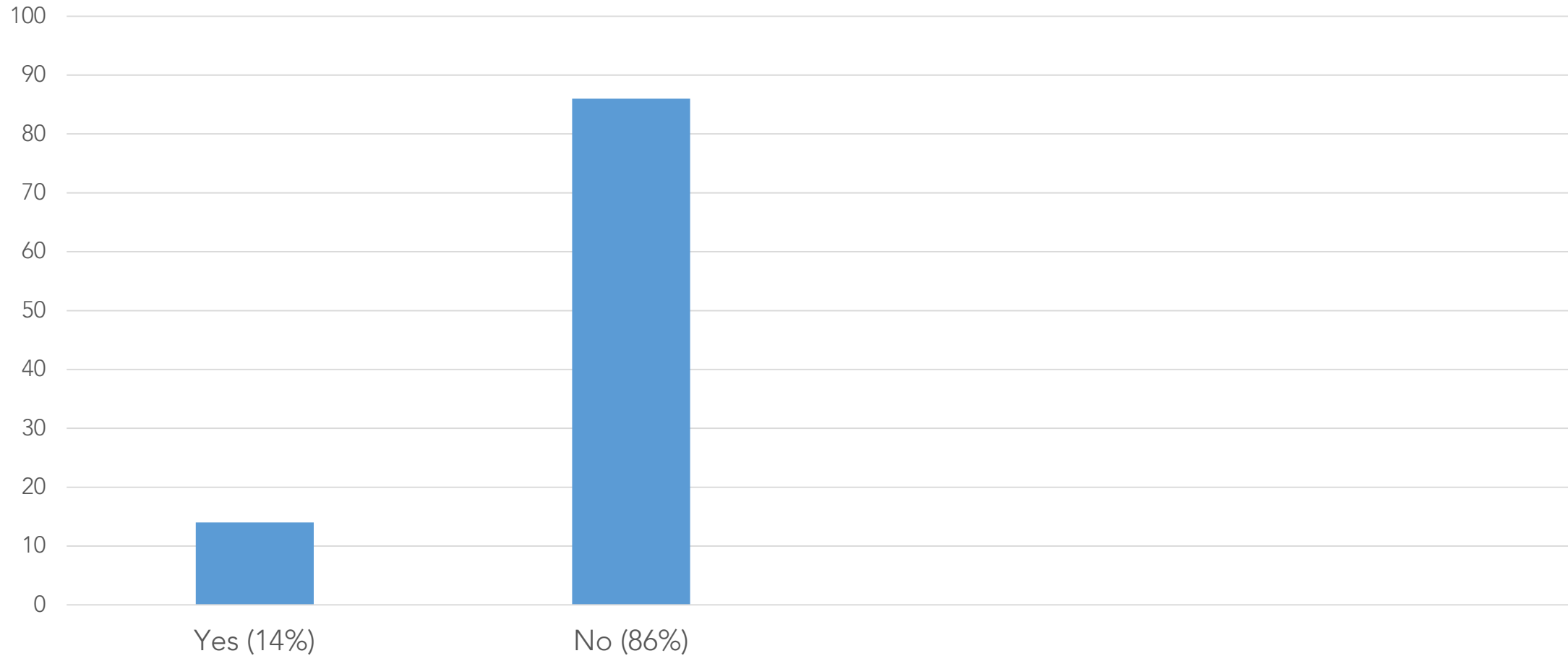
■ Effective measures to understand TM system performance



Does your organisation have measures to allow it to better understand the performance of its existing AML TM systems?



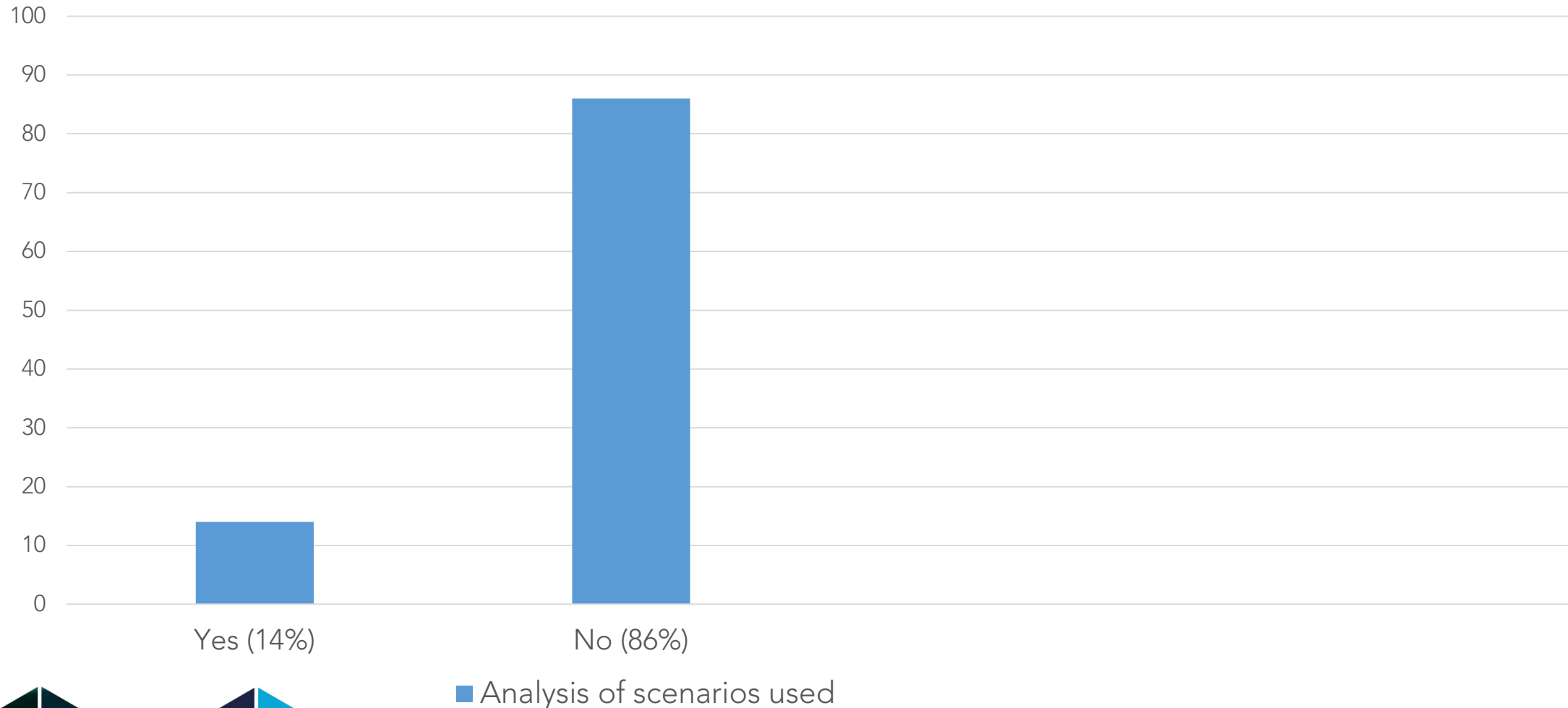
Does your organisation adopt a pragmatic approach towards the review and improvement of its existing AML TM systems?




■ Extent to which pragmatic review and improvement of TM system undertaken



In terms of transaction monitoring, does your organisation complete regular analysis of scenario performance?

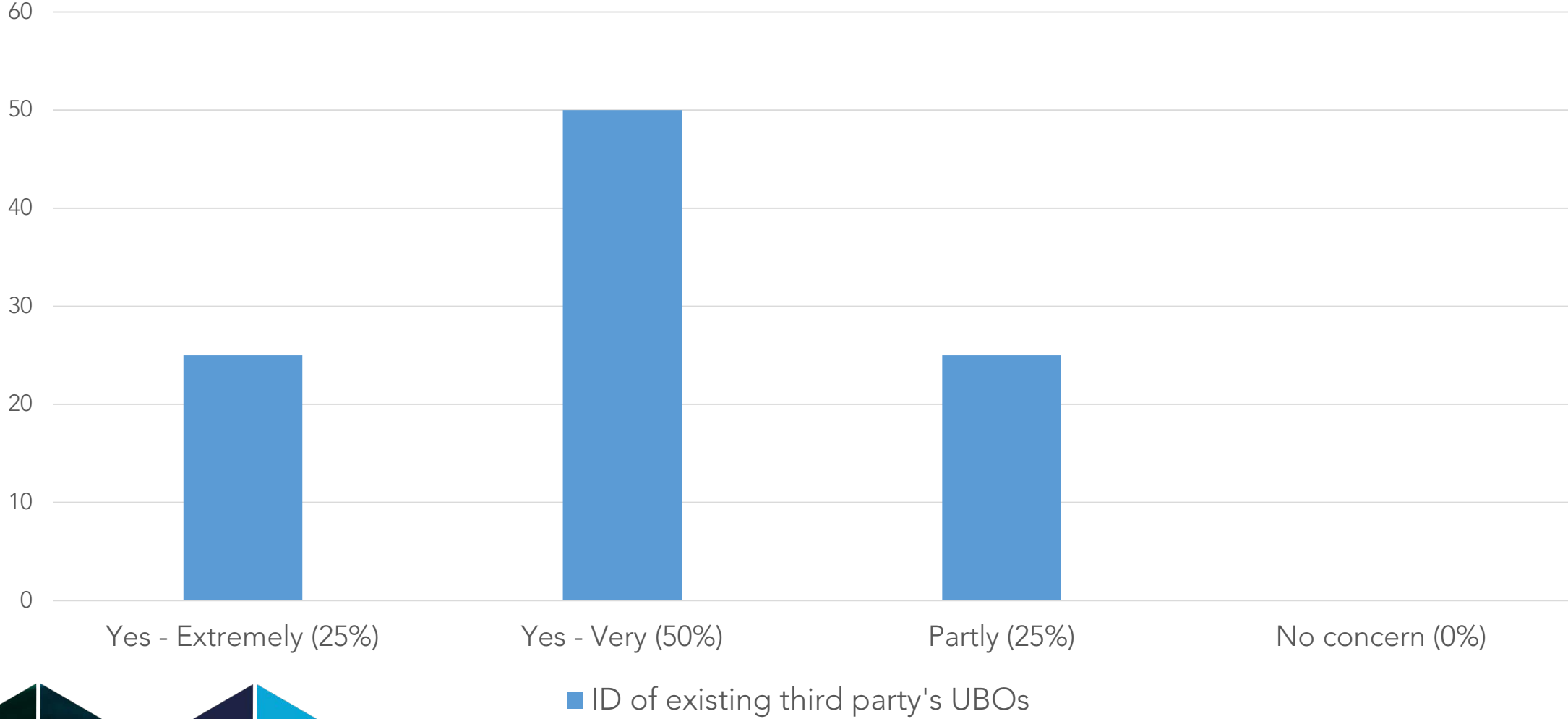




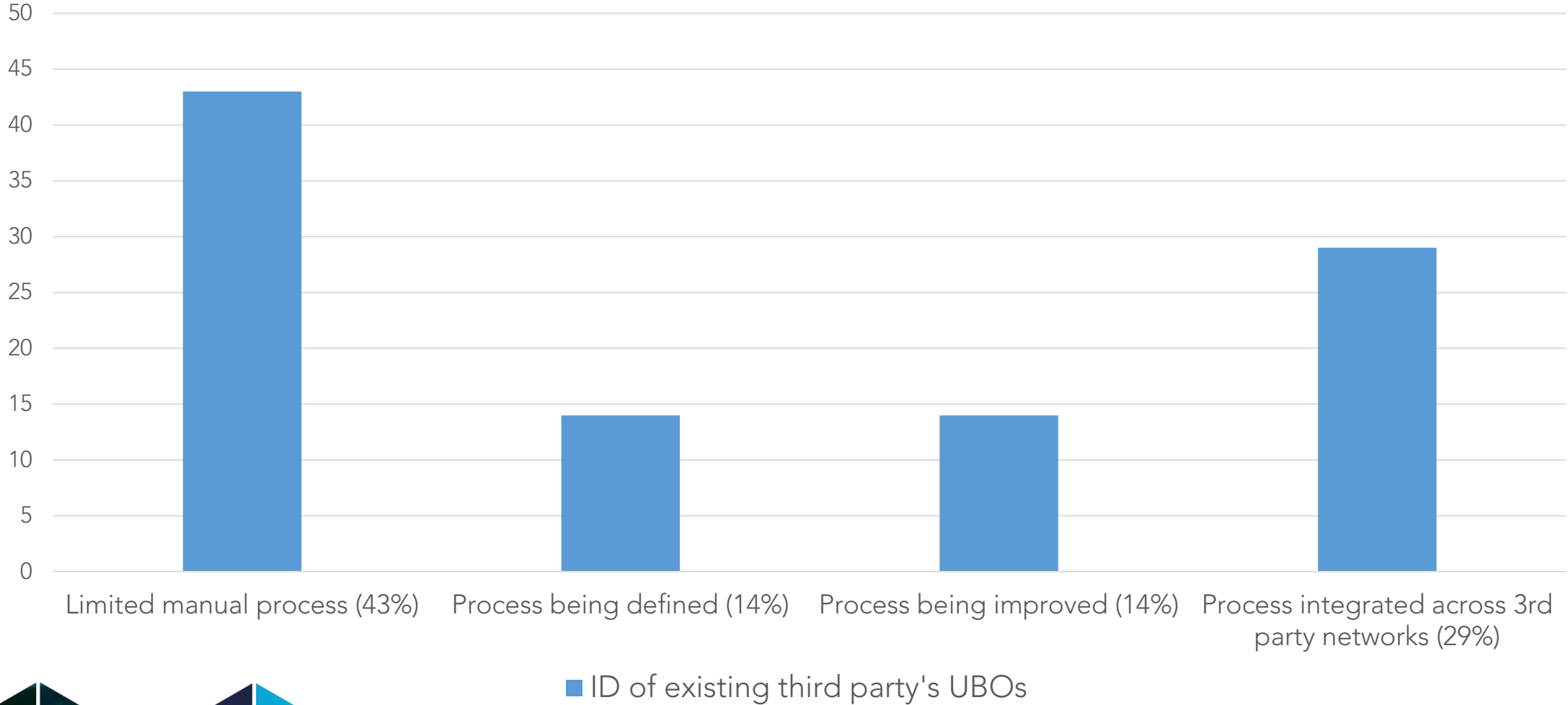
Polling questions – results

CDD on third parties

How concerned are you about identifying the UBOs of existing third party relationships?



What does your organisation use to identify third party UBOs?

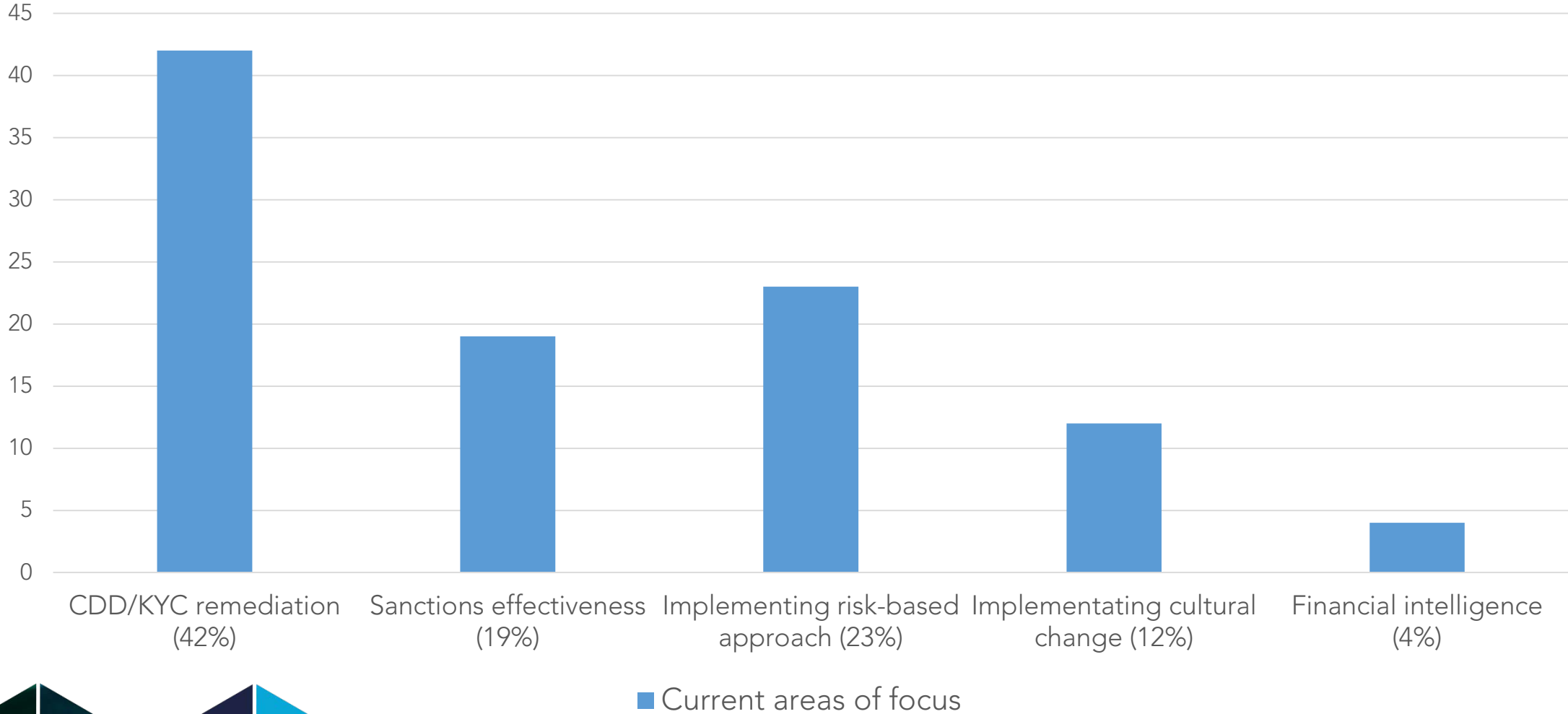




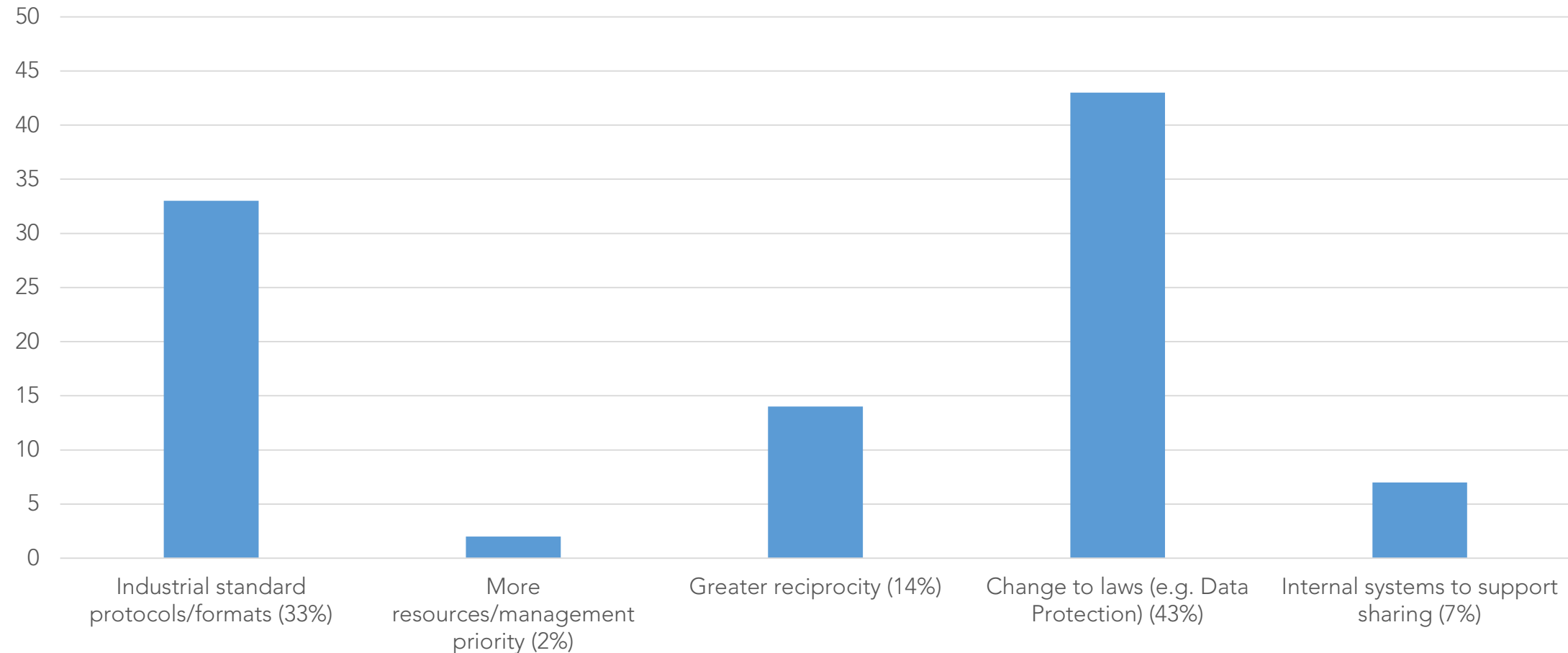
Polling questions – results

Overview – various AML matters

Top area of financial crime that your organisation is focused on?



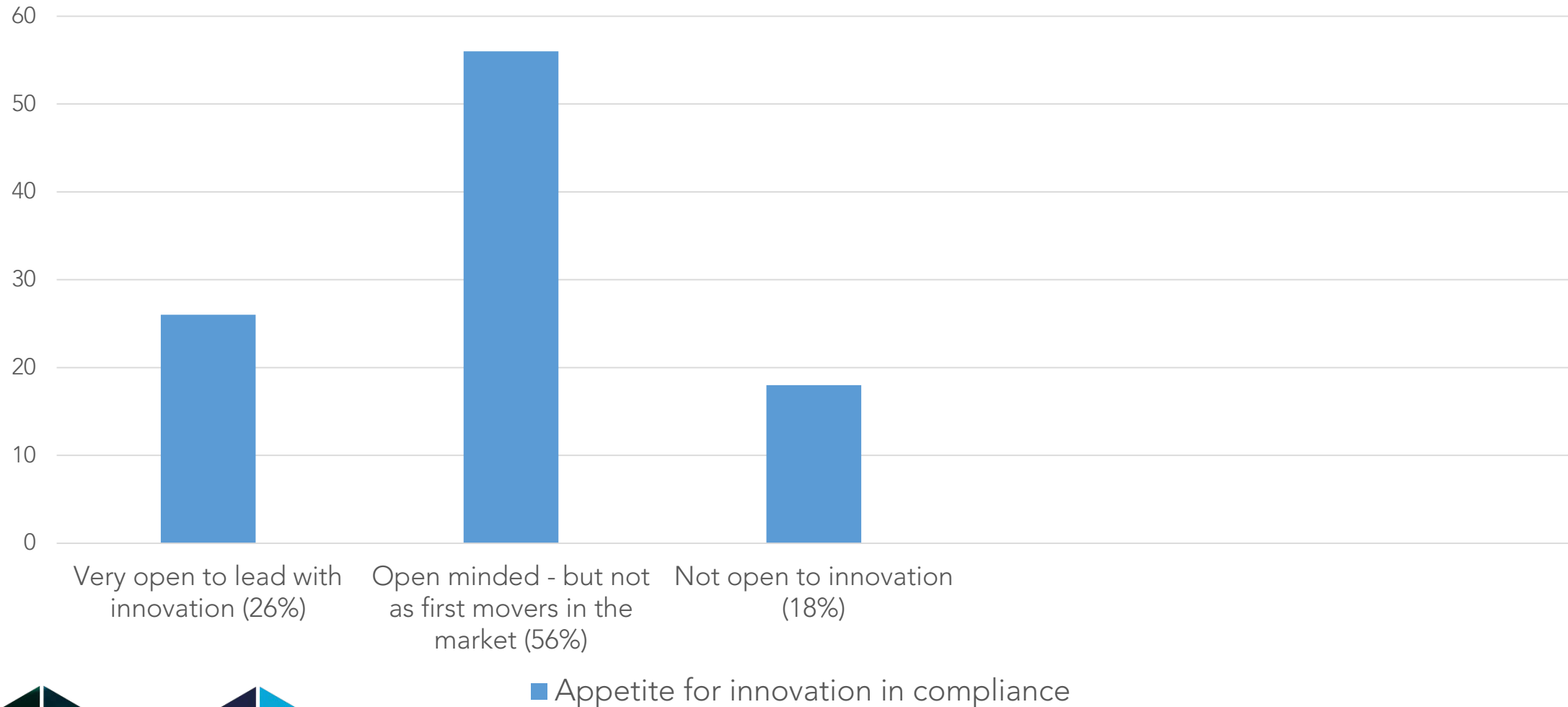
Top thing that would help your organisation to increase effectiveness of information sharing (internal/external)?



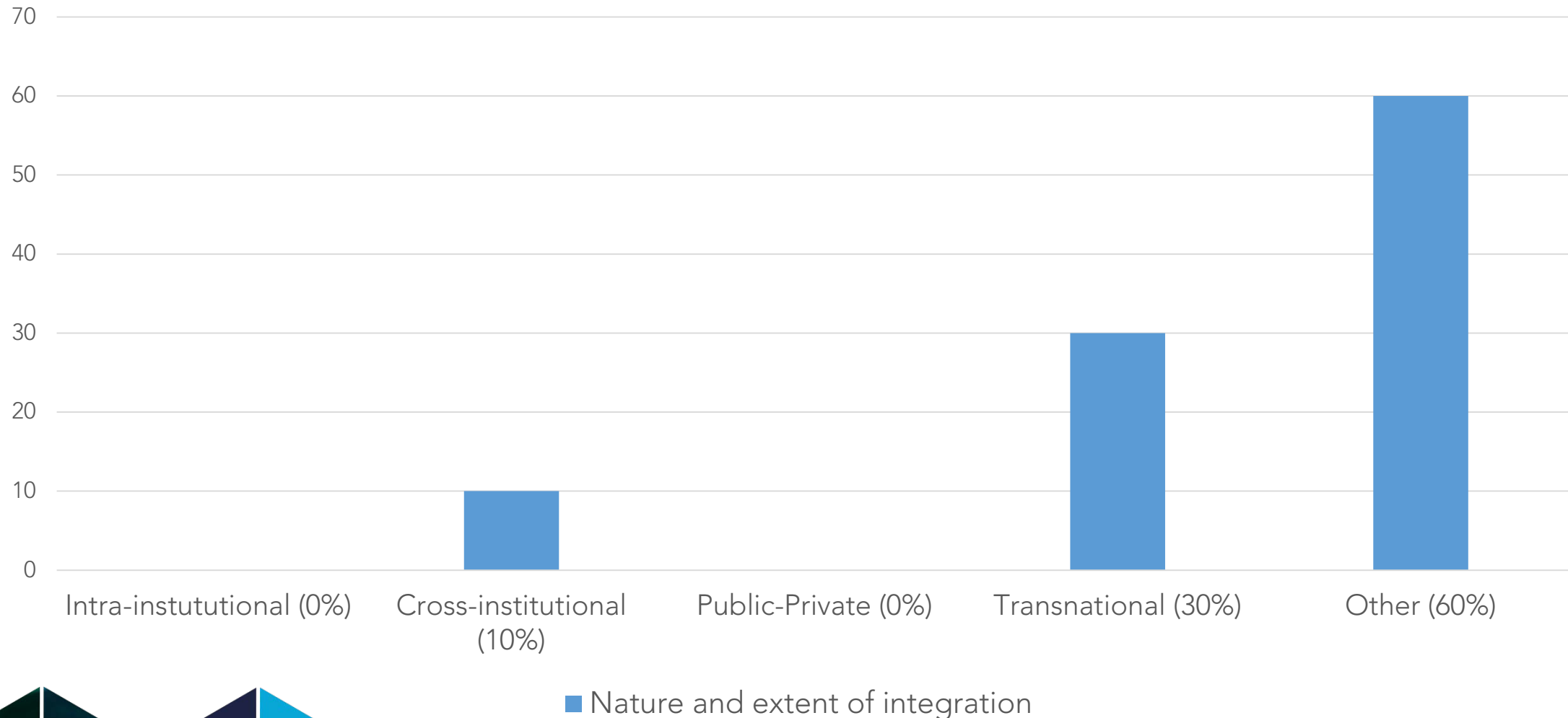
■ Measures that would assist with information sharing (internal and external)



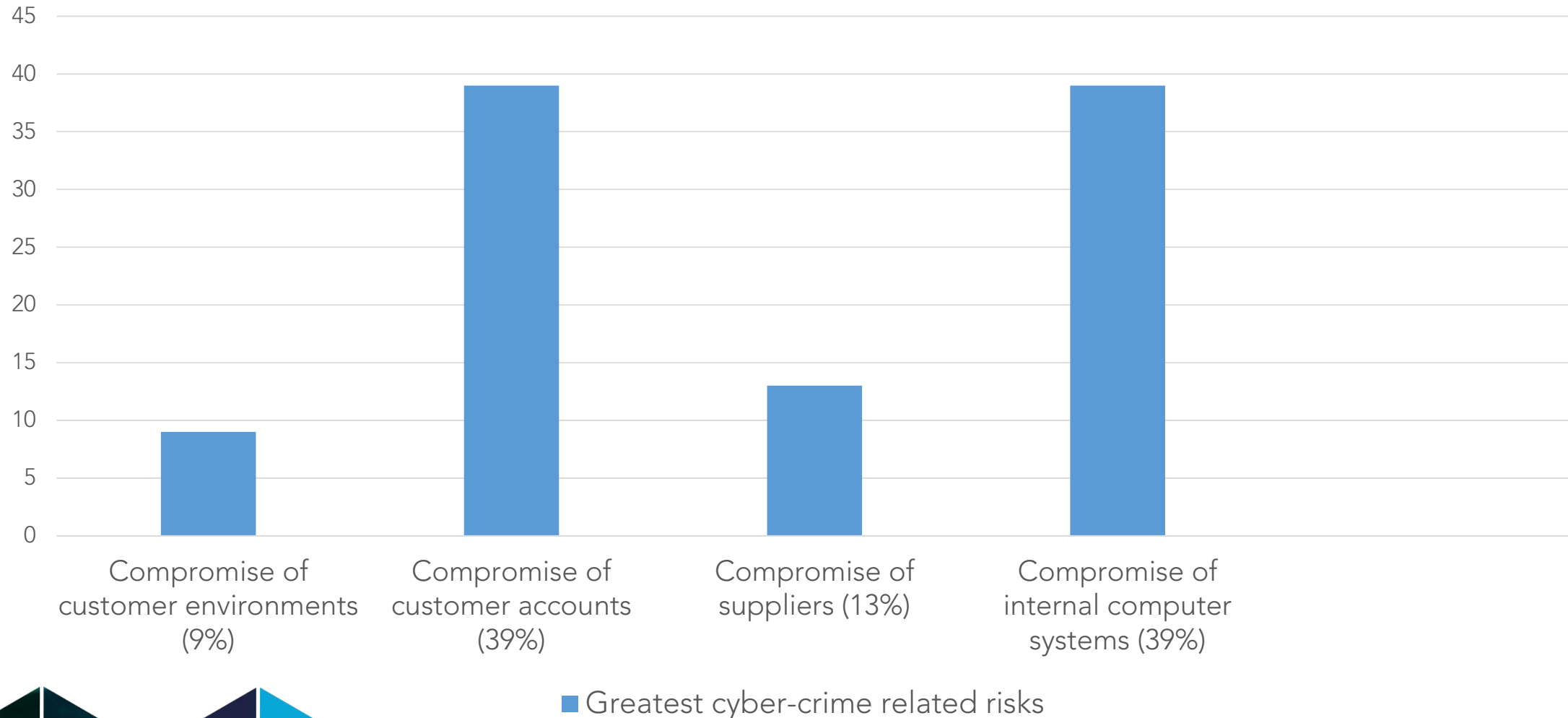
Extent your organisation is open to innovation within compliance?



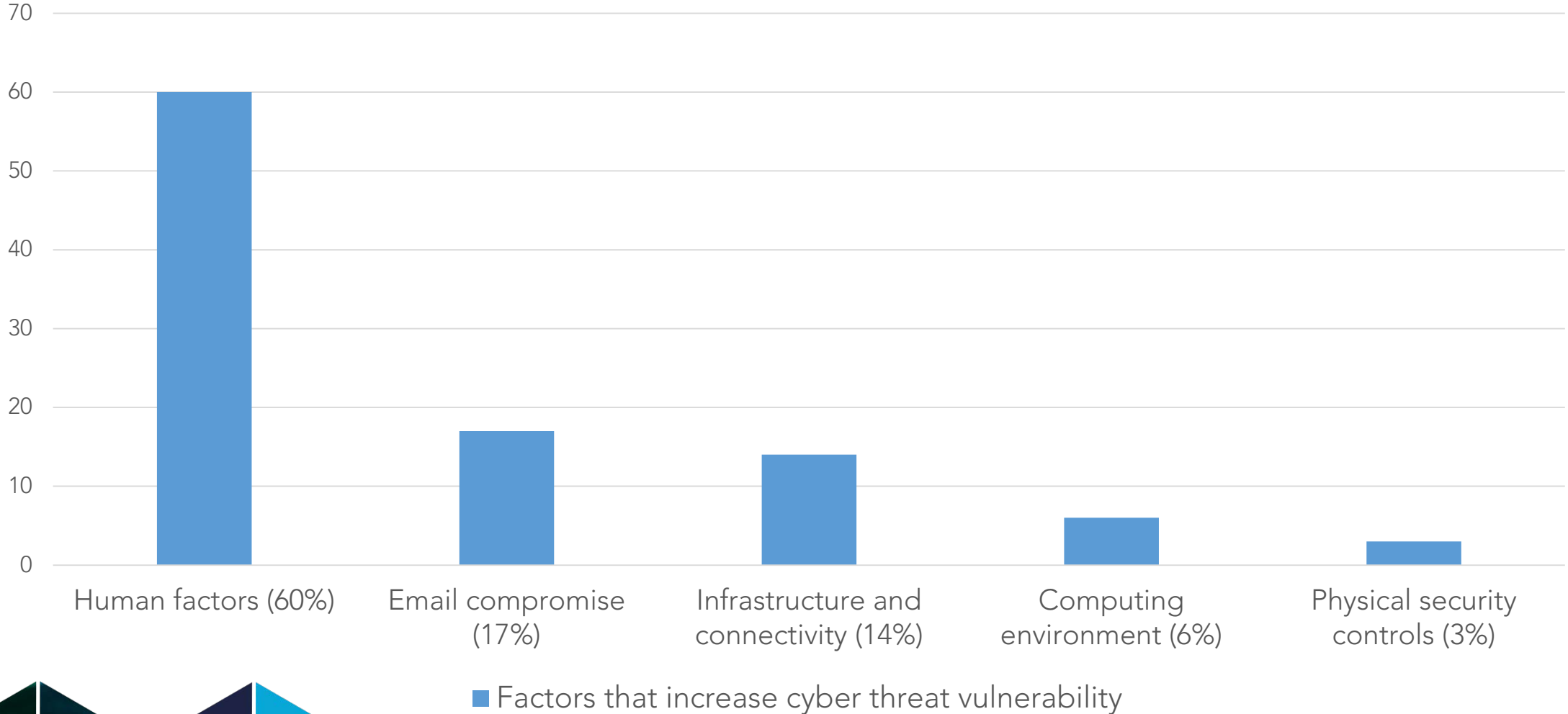
Extent your organisation has integrated AML/Fraud/Cyber Security detection process?



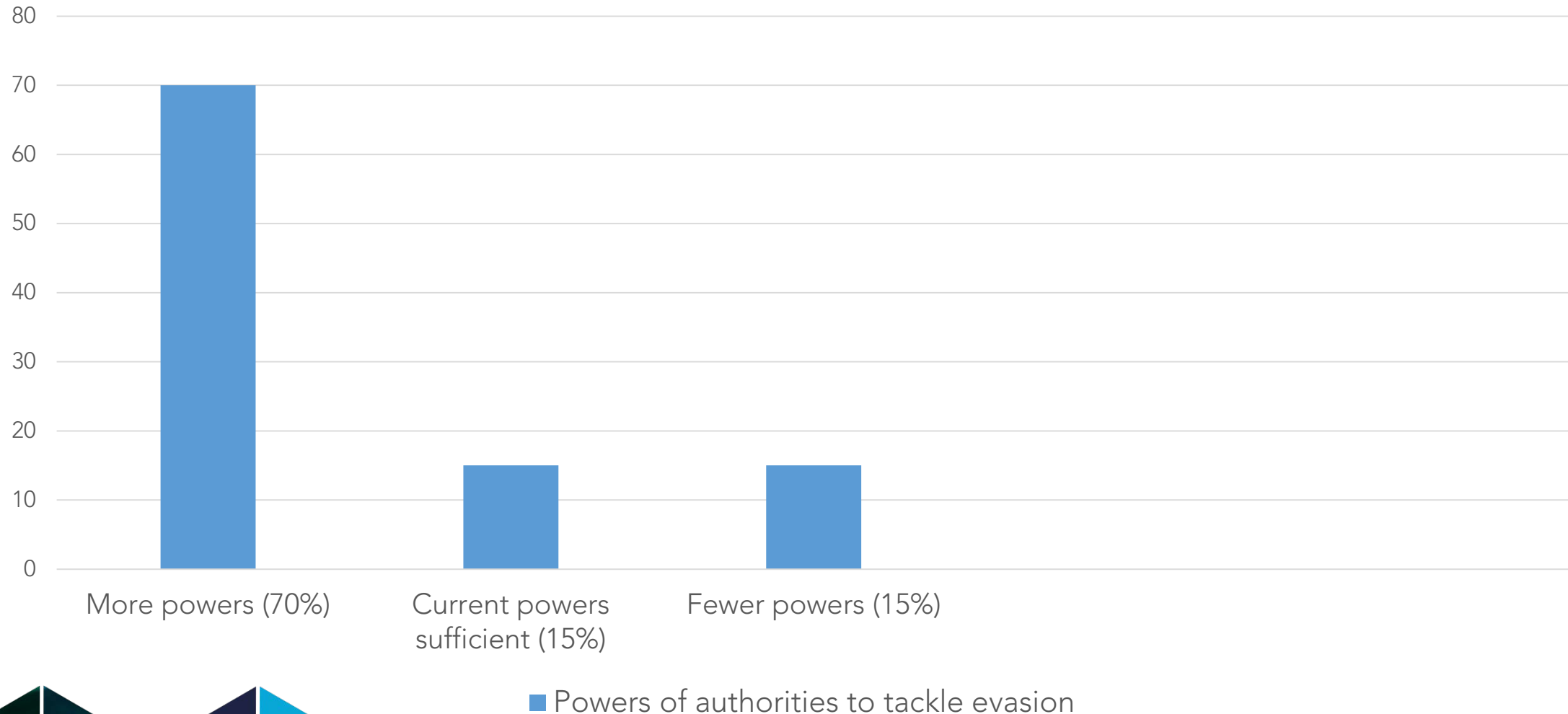
In terms of Cyber Crime, what are the greatest regulator and reputational risks for your organisation?



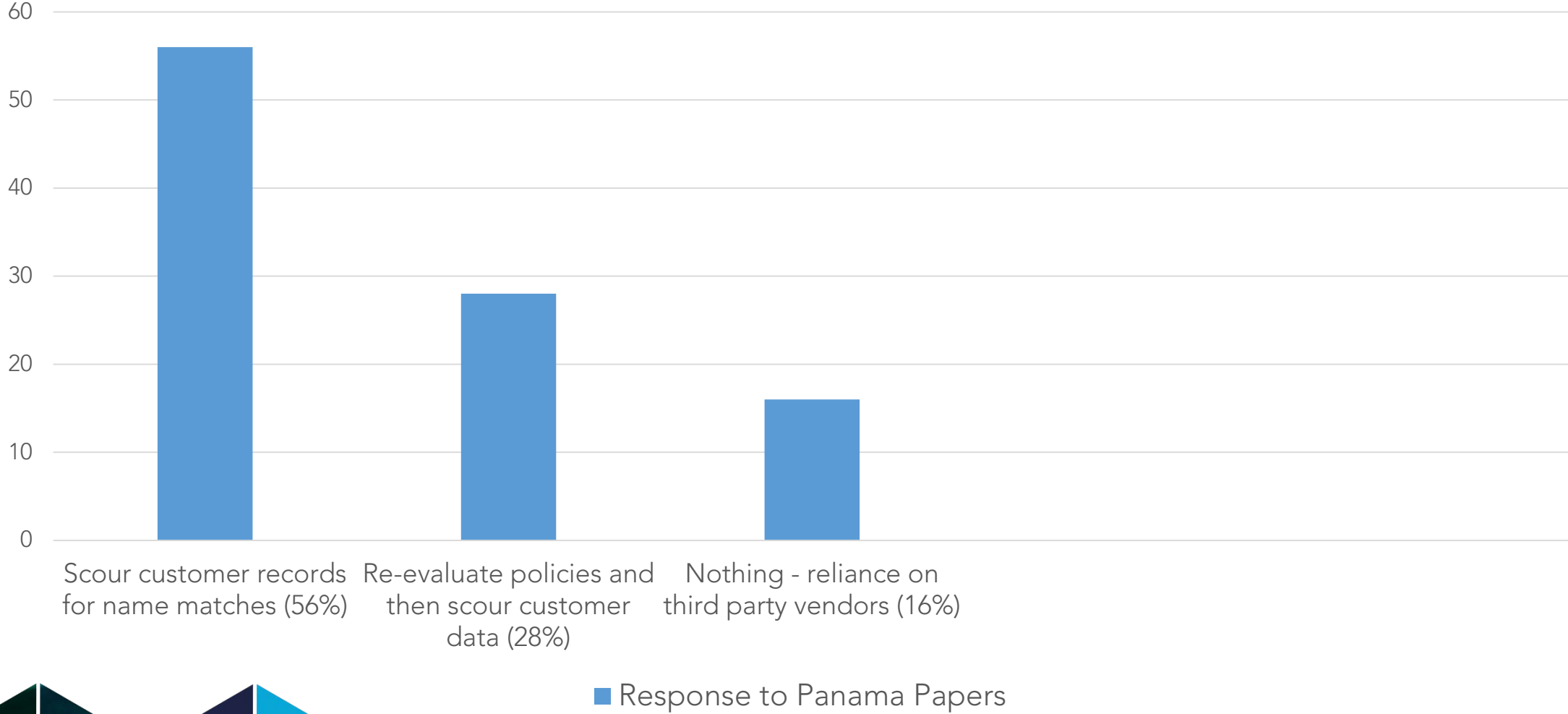
What are the greatest areas of weakness that increase the risk of cyber threats?



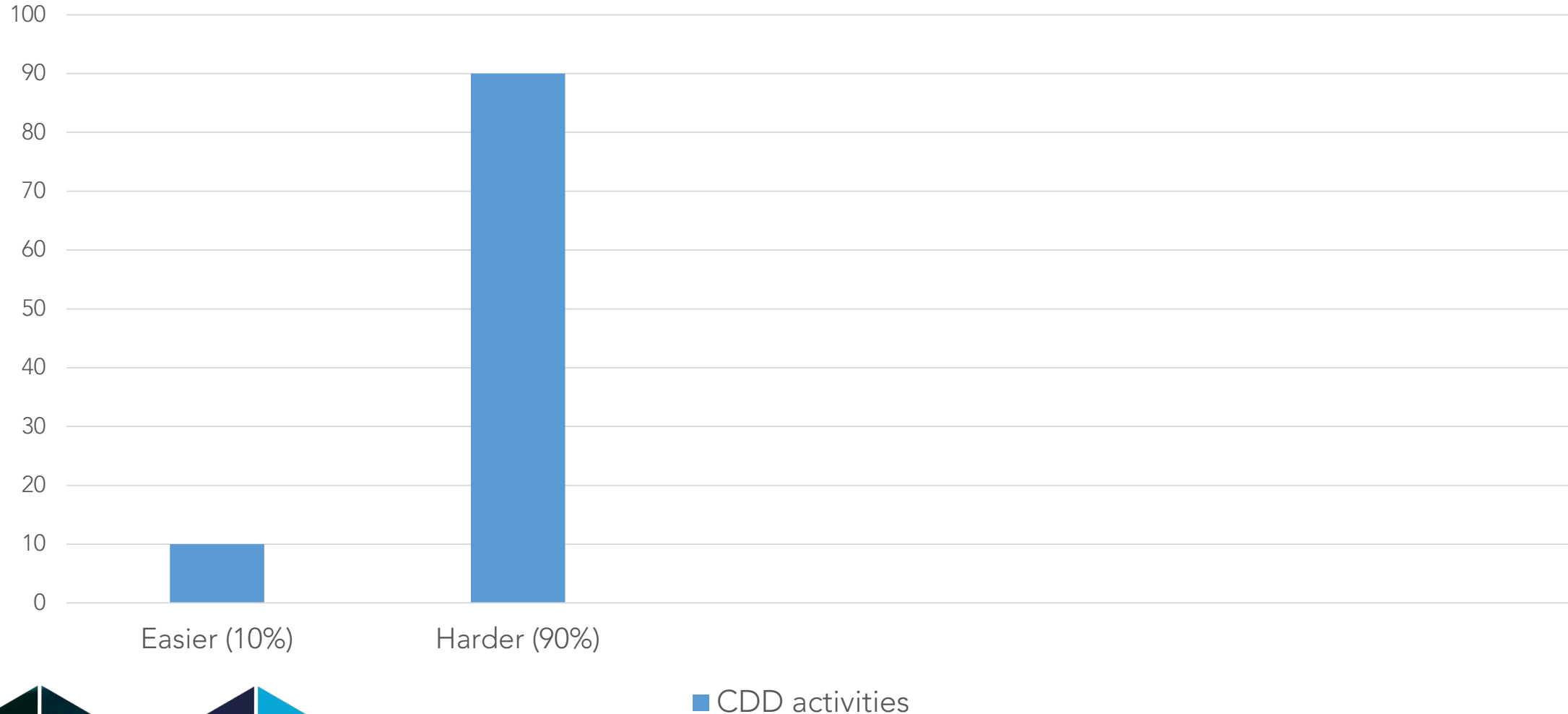
Should tax and law enforcement authorities have fewer or greater powers to tackle tax evasion?



The Panama Papers caused my financial institution to...



In your opinion, is CDD getting easier or harder?



What does your organisation spend most of its time on when performing CDD?

