PROGRAMME

TUESDAY
11 JUNE 2019

08:00 – 20:00
REGISTRATION DESK OPEN
INCLUDING EARLY REGISTRATION
FOR THE MAIN CONFERENCE

Hotel Adlon Kempinski

KEY SESSION THEMES:
CORRESPONDENT BANKING
FRAUD
CAMs LIVE PREP

08:00 – 12:00
REGISTRATION - WORKSHOP A
AND CAMS LIVE PREP

08:00 – 18:30
PRE-CONFERENCE REGISTRATION

09:00 – 12:00
WORKSHOP A

09:00 – 16:30
CAMs LIVE PREP

12:00 – 13:00
WORKSHOP B REGISTRATION

13:00 – 16:00
WORKSHOP B

17:30 – 20:00
CONFERENCE WELCOME
RECEPTION - ALL DELEGATES
WELCOME

WEDNESDAY
12 JUNE 2019

07:15 – 17:30
REGISTRATION DESK OPEN

07:15 – 19:00
EXHIBIT HALL OPEN

bcc Berlin Congress Center

KEY SESSION THEMES:
MEET THE REGULATORS
SANCTIONS
CYBERCRIME
HOT TOPICS Q&A

07:15 – 08:45
REGISTRATION

08:45 – 10:30
PLENARY SESSIONS

10:30 – 11:00
NETWORKING BREAK

11:00 – 11:25
PLENARY SESSION

11:25 – 12:25
CONCURRENT SESSIONS

12:10 – 13:15
NETWORKING LUNCH

13:15 – 14:15
CONCURRENT SESSIONS

14:15 – 14:45
NETWORKING BREAK

14:45 – 15:45
CONCURRENT SESSIONS

15:30 – 16:15
NETWORKING BREAK

16:15 – 17:30
PLENARY SESSIONS

17:30 – 19:00
NETWORKING RECEPTION

THURSDAY
13 JUNE 2019

07:45 – 16:35
REGISTRATION DESK OPEN

07:15 – 15:45
EXHIBIT HALL OPEN

bcc Berlin Congress Center

KEY SESSION THEMES:
LEADERSHIP IN COMPLIANCE
ENFORCEMENT ACTIONS
PATHS TO COLLABORATION
MANAGING HIGH-RISK CLIENTS

07:45 – 08:45
REGISTRATION

08:45 – 10:15
PLENARY SESSIONS

10:15 – 10:45
NETWORKING BREAK

10:45 – 11:45
CONCURRENT SESSIONS

11:45 – 12:15
NETWORKING BREAK

12:15 – 13:15
CONCURRENT SESSIONS

13:00 – 14:15
NETWORKING LUNCH

14:15 – 15:15
CONCURRENT SESSIONS

15:15 – 15:45
NETWORKING BREAK

15:45 – 16:30
PLENARY SESSION

16:30 – 16:35
CLOSE
PRECONFERENCE TRAINING

TUESDAY, 11 JUNE 2019
Hotel Adlon Kempinski

08:00 – 20:00  CONFERENCE Badge COLLECTION

09:00 – 16:30  CAMS LIVE PREP

Led by a CAMS-certified instructor, this seminar reviews critical information in an easy-to-follow format that prepares you to sit for the CAMS Examination. Benefit from this interactive study session as an AML expert walks you through core content areas and practice questions.

Instructor:
Holger Pauco-Dirscherl, CAMS, Senior Financial Crime Professional (Germany)

09:00 – 12:00  WORKSHOP A
Managing the AML Risks of Correspondent Banking

Correspondent Banking can be risky. Most regulators encourage financial inclusion, however, certain jurisdictions are internationally recognised as having lax anti-money laundering standards, insufficient regulatory supervision, or presenting greater risk for corruption or terrorist financing.

Banks are expected to have a healthy risk appetite but also to be vigilant for financial crime red flags, investigate them thoroughly, and make prudent de-risking decisions based on those findings to avoid potential fines and prosecution for non-compliance.

In this hands-on workshop, seasoned compliance professionals provide insights on navigating the murky waters of correspondent banking, while mitigating regulatory risks.

Key Areas Covered

• Analysing unique market and respondent bank risks to create appropriate and individualised control environments that conform to institutional risk appetite and tolerance standards

• Simulating case studies to detail practical management and staff training scenarios for scrutinising and resolving relationship issues with respondent banks

• Understanding motivations and interests of both business side and financial criminal compliance units to facilitate mutually beneficial resolution of conflicting incentives
Who Should Attend

Correspondent banking is a vital link in global finance. However, correspondent relationships can pose unique hurdles.

This workshop will address the challenges faced by compliance officers and risk managers such as, when to de-risk correspondent banking relationships, how to identify beneficial owners and politically exposed persons, and effective information sharing.

Whether you are a legal advisor, industry consultant or onboarding manager working with financial institutions, this workshop will provide you with the necessary tools to overcome these potential problems including practical strategies for managing AML/CFT risks and heightened regulatory requirements.

Moderator:
Dr. Annalise Vineer, FCII, CAMS, Senior Risk Quality Assurance Manager, Business Financial Crime Risk, Global Commercial Banking, HSBC (UK)

Presenters:
Audrey MC Milesi, CAMS, Founder, Flying CFO (Switzerland)
Dr. Stephan Niermann, Senior Compliance/AFC Executive, formerly Managing Director, CCO, JP Morgan AG (Germany)
Carmel Speers, Head of Business Line AFC - Global Transaction Banking, Deutsche Bank (UK)

13:00 – 16:00  WORKSHOP B

Catch Me If You Can: Thinking Like a Fraudster

It takes years to build trust and only moments to destroy it. Fraudsters are experts at building it, and betraying it, in order to perpetrate their crimes.

In this dynamic and insightful workshop, attendees will examine the psychological profiles of these deceitful financial criminals, whose victims may be elderly or vulnerable adults, a trusting employer — or even a financial institution. This highly analytical workshop will deep-dive into the role of anti-money laundering and other anti-financial crime professionals in fraudulent transactions, and the rationales by which fraudsters justify their actions.

In short, for financial crime professionals, catching fraudsters requires thinking like one as well as identifying transactions associated with their crimes. For AML and financial crime professionals, this workshop provides useful insights on fraud detection, protection and prevention.

Key Areas Covered

● Identifying how to break down silos to work with fraud investigators and technology professionals to stem and detect fraud

● Analysing a fraudster’s motivation to better differentiate legitimate relationships and those based on criminal intent and take appropriate protective actions

● Understanding rationalisation processes of fraudsters to strengthen situational awareness skills related to improving detection of potential fraudulent acts or schemes

● Investigating how fraudsters identify opportunities, to assess vulnerability of institution or clients to fraud and implement controls to mitigate risks of being victimised

Who Should Attend

Prevention, detection, investigation: Fighting fraud requires a multi-faceted approach, and this compelling workshop provides a holistic examination that’s of benefit to all the relevant anti-financial crime constituencies.

Whether you are a fraud examiner, a member of a financial intelligence unit or a law enforcement officer who works in collaboration with financial institutions, this workshop will raise awareness of how fraudsters think and act — providing new tools for spotting and circumventing them.

Meanwhile, the materials presented will also be of inherent benefit to relationship managers as well as AML officers and consultants who conduct training on the nefarious methods by which these criminals operate.

Moderator:
Vlada Tkach, Senior Partner, Berlin Risk Ltd. (Germany)

Presenters:
Marie Lundberg, CAMS, CFE, Chief Executive Officer, ACRONYM Consulting AB (Sweden)
Andy McDonald, Former Head of UK National Terrorist Financial Investigation Unit, New Scotland Yard (UK)
Tony Sales, Director Strategic Development, We Fight Fraud (UK)

17:30 – 20:00  WELCOME RECEPTION

All conference delegates welcome
**MAIN CONFERENCE**

**DAY ONE**

**WEDNESDAY, 12 JUNE 2019**

bcc Berlin Congress Center

07:15 – 08:45 REGISTRATION & NETWORKING

08:45 – 09:00 PLENARY SESSION C01

Welcome Remarks

09:00 – 09:25 PLENARY SESSION C01

Keynote Address

Alexandra Jour-Schroeder, Acting Deputy Director-General of DG Justice and Consumers, European Commission (Belgium)

09:25 – 10:30 PLENARY SESSION C01

Regulatory Roundtable: Insights From Top Regulators on the Latest AML Trends in Europe

- Analysing recent guidance on information sharing and other regulatory priorities affecting European institutions
- Adopting practical strategies to successfully implement the Fifth Money Laundering Directive (5AMLD) into your compliance program and overcoming possible challenges
- Detailing new and future regulatory changes and their potential impact on your organisation including the Sixth Anti-Money Laundering Directive (6AMLD) and the FATF AML Standards for Cryptocurrencies

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS (USA)

Presenters:

Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS (France)
René Brüelhart, President, Financial Information Authority of the Holy See and Vatican City State
Christopher Buttigieg, Chief Officer - Strategy, Policy and Innovation, Malta Financial Services Authority (Malta)
Matis Maeker, Head of AML/CFT and PSP Supervision Department, Estonian Financial Supervision Authority (Estonia)

11:00 – 11:25 PLENARY SESSION C01

Special Presentation

Presenter:

John E. Smith, Former Director, Office of Foreign Assets Control, Partner, Morrison & Foerster LLP (USA)

11:25 – 12:25 CONCURRENT SESSION 1 C01

EU vs US Sanctions: Addressing Multi-Jurisdictional Compliance Conflicts

- Examining the differences between US and EU sanctions on Iran to zero in on potential non-compliance risks
- Reviewing recent penalties imposed by OFAC on European financial institutions for failure to comply with US sanctions to avoid future missteps
- Evaluating the EU’s efforts to immunise European companies from non-compliance with US sanctions on Iran
- Implementing best practices for wire transactions to meet international sanctions standards

Moderator:

Tom Keatinge, Director, Centre for Financial Crime & Security Studies, Royal United Services Institute (UK)

Presenters:

Lydra Arkaxhiu, CAMS, Group Compliance, Assicurazioni Generali S.p.A. (Italy)
Cem Berik, CAMS, Former Head of Compliance, Turkey Finans Participation Bank, Board Member, Istanbul Institute of Finance (Turkey)
Martijn Feldbrugge, Owner, BSCN B.V. (Netherlands)
John E. Smith, Former Director, Office of Foreign Assets Control, Partner, Morrison & Foerster LLP (USA)

10:30 – 11:00 NETWORKING BREAK B01-02
11:25 – 12:25 CONCURRENT SESSION 2  B05-06

Bridging the Gap: Financial Crime Compliance for Designated Non-Financial Businesses and Professions (DNFBPs)

- Analysing ML risks in the DNFBPs sector and best practices to mitigate them
- Developing and implementing AML controls to effectively mitigate financial crime risks posed by DNFBP sectors, such as gaming, e-gaming and real estate, while adjusting resource allocations as warranted
- Establishing best practices for FI’s onboarding of DNFBP clients

Moderator:
Dr. Giuseppe Lombardo, International Strategic Advisor, Financial Integrity (Italy)

Presenters:
Tommas Kaplan, Head of Compliance, von Poll Real Estate LLC (Germany)
David Parody, National Coordinator for AML/CFT, HM Government of Gibraltar (Gibraltar)
Trisevgeni Stavropoulos, Head of Compliance, Antwerp World Diamond Centre (Belgium)

11:25 – 12:10 CONCURRENT SESSION 3  B07-08

AFC Knowledge Session
Presented by Ayasdi

Assumed vs. Actual Risk – Using Machine Intelligence for Behaviour-Based Risk Modeling

- Addressing the inefficiencies and ineffectiveness of assumed risk approaches
- Delivering behaviour-based, actual risk models using unsupervised learning-powered segmentation
- Using movement between segments as a new determinant of risk with the need to impact existing systems

Moderator:
Tarik Eker, CAMS, Regional AML Director – Europe, ACAMS (UK)

Presenter:
Doug Stevenson, VP, Global Head of Financial Services, Ayasdi (USA)

12:10 – 13:15 NETWORKING LUNCH  A01-06

13:15 – 14:15 CONCURRENT SESSION 1  C01

Safeguarding Your Institution from Cybercrime Threats

- Finding AML’s role in detecting and preventing cybercrime
- Analysing recent cybercrime cases for lessons learned to identify vulnerabilities and mitigate potential cyber risks such as malware infestation
- Protecting against insider threats such as rogue employees and inadequate staff training on cyber-related threats by creating a formal Know Your Employee program
- Establishing cyber-incident response plans to contain damage of systemic attacks such as ransomware and data breaches, rectify security gaps, and notify and collaborate with authorities

Moderator:
Nina Kerkez, Business Strategy for Financial Crime and Fraud, LexisNexis Risk Solutions (UK)

Presenter:
James Balsamo, Country Attaché, Homeland Security Investigations (Germany)
Clark Frogley, CAMS, Vice President, World Wide Sales Leader AML, KYC Cognitive Solutions, IBM (USA)
Mika Linna, Head of Financial Crime and Cybersecurity, Federation of Finnish Financial Services (Finland)
Anton Moiseienko, Research Fellow, Centre For Financial Crime And Security Studies, Royal United Services Institute (UK)
13:15 – 14:15 CONCURRENT SESSION 2  B05-06

Crafting Processes to Combat Bribery and Corruption in Europe

- Examining lessons learned from recent cases against European entities for deliberate infringement of the Foreign Corrupt Practices Act
- Understanding the compliance failures behind the Nordic and Baltic banks scandal and how millions of dollars from Eastern Europe were laundered through the European Union into the global financial system
- Training staff on red flags that may identify customers, employees or third parties involved in bribery and corruption

**Moderator:**
Holger Paucó-Dirscherl, CAMS, Senior Financial Crime Professional (Germany)

**Presenters:**
Angela Cowie, EMEA Head of Anti-Bribery and Corruption (FCC), Wells Fargo (UK)
Tarik Eker, CAMS, Regional AML Director – Europe, ACAMS (UK)
Carsten Helm, Head of Anti-Fraud, Bribery & Corruption, Commerzbank AG (Germany)
Juergen Krais, Senior Legal Counsel Compliance, Siemens AG (Germany)
Audrey MC Milesi, CAMS, Founder, Flying CFO (Switzerland)

14:45 – 15:45 CONCURRENT SESSION 1  C01

Mitigating the Financial Crime Threats of Emerging Payment Systems

- Raising awareness about the risks tax evaders and money launderers pose to FinTechs and cryptocurrency firms.
- Analysing and mitigating risks of common typologies, including human trafficking, laundering bitcoins derived from dark web sales, and the use of structured deposits across different jurisdictions
- Reviewing the emerging regulatory landscape for cryptocurrency firms
- Examining regulatory perspectives on RegTech, as well as the challenges of choosing the right technology

**Moderator:**
Koos Couvée, Financial Crime Reporter, MoneyLaundering.com (UK)

**Presenters:**
Peter Oakes, Independent Director (Ireland)
Alexandre Pinot, CAMS, MLRO and Head of Vilnius Office, SONECT (Lithuania)
Gemma Rogers, Director, FINTRAIL (UK)

13:15 – 14:00 CONCURRENT SESSION 3  B07-08

AFC Knowledge Session
Presented by NICE Actimize

Creating Efficiencies with a Modernized AML Program

- Learn how to incorporate innovative technologies such as AI, machine learning, and advanced automation, with respect to existing AML programs
- Strengthen the KYC/CDD process today for the unknown financial products of tomorrow
- Extract the most value when leveraging the Cloud, and considerations for different-sized institutions

**Moderator:**
Rajeev Ahya, Financial Crime Subject Matter Expert, Europe, ACAMS (UK)

**Presenter:**
Trevor Barritt, AML Principal, NICE Actimize (UK)

14:45 – 15:45 CONCURRENT SESSION 2  B05-06

Protecting Your Institution from TBML Risks

- Reviewing current regulatory guidance on how financial institutions can fight TBML
- Examining recent information-sharing efforts in Europe to mitigate TBML risks including KYC utilities and Private-Public Partnership (PPP) initiatives
- Training staff on TBML typologies such as invoice manipulation, buyer/seller collusion, and document fraud to enhance transaction screening and spot potential red flags

**Moderator:**
Yevgeniya Balyasna-Hooghiemstra, CAMS, Owner, Radosyn Compliance Training & Advisory (Netherlands)

**Presenters:**
Dr. Lucas Cremers, Senior AML Expert, Anti Money Laundering Centre (Netherlands)
Jonathan Groom, CAMS, Head of Wolfsberg Group Secretariat, Director, Global AML Strategy & Architecture, Financial Crime Compliance, HSBC (UK)
Mariola Marzouk, Compliance Product Manager, BAE Systems (UK)
Denisse Rudich, Senior Policy Advisor, The Sentry (UK)
14:45 – 15:30  CONCURRENT SESSION 3  B07-08

AFC Knowledge Session
Presented by Finserv

3 Pillars of Financial Crime Risk Management Collaboration – Internal Collaboration; Consortium Data & Regulatory Collaboration

- Innovation and technology driven strategies, based on 3 pillars of financial crime risk management collaboration – internal collaboration; consortium data and regulatory collaboration
- The impact multiple angles have on these 3 pillars, including different stakeholders, technology and data sharing perspectives
- How new technology and various innovation initiatives such as regulatory sandboxes can help make financial crime risk management more efficient and effective, along with promoting operational excellence, eliminating inefficiencies, and streamlining risk management and reporting processes

Moderator:
Karol Wojtczak, Global Financial Intelligence Unit Procedures & Trainings, EMEA Regional Head, Citi (Poland)

Presenter:
Andrew Davies, CAMS, Vice President, Global Market Strategy, Financial Crimes Risk Management, Fiserv (USA)

15:30 – 16:15  NETWORKING BREAK  B01-02

16:15 – 17:25  PLENARY SESSION  C01

Hot Topics in AML and AFC

- Do you have questions about rapidly changing issues vital to fighting financial crime – Brexit, CTF, Blockchain? Well we have answers. Consistently among the most popular panels, Hot Topics brings together experts from across the AML universe – responding to questions submitted by attendees themselves. To emphasize immediacy, submissions must be made within two weeks of the conference, ensuring the topics are fresh and the discussion lively. Please join your fellow professionals at the Hot Topics panel – a great way to have your say

Moderator:
Markus Schulz, Global Head FCC Controls, Group Financial Crime Compliance, Standard Chartered Bank (UK)

Presenters:
Dr. Andreas Burger, Partner, Forensic Financial-Services-Industry and Financial-Crime Advisor, Deloitte (Germany)
Kate Hotten, CAMS, Compliance Officer, Stripe (Ireland)
Tom Keatinge, Director, Centre for Financial Crime & Security Studies, Royal United Services Institute (UK)
Mark Turkington, Director, Financial Crime Threat Mitigation, HSBC (UK)
Philippe Vollot, Chief Compliance Officer, Executive Board, Danske Bank (Denmark)

17:25 – 17:30  PLENARY SESSION  C01

Closing Remarks

17:30 – 19:00  NETWORKING RECEPTION  B01-02
MAIN CONFERENCE
DAY TWO

THURSDAY, 13 JUNE 2019
bcc Berlin Congress Center

07:45 – 08:45 NETWORKING B01-02

08:45 – 08:50 PLENARY SESSION C01
Welcome Remarks

08:50 – 09:15 PLENARY SESSION C01
Special Presentation
Presenter:
John Cusack, Co-Chair Wolfsberg Group (UK)

09:15 – 10:15 PLENARY SESSION C01
Executive Roundtable: Compliance Insights and Advice from Top AFC Leaders
- Analysing the importance of formal communication protocols between compliance and senior officers to address liability concerns and impede high turnover
- Identifying best practices for creating and maintaining outreach programs with law enforcement across Europe while understanding the legal limitations of private-public partnerships
- Establishing and promoting a culture of compliance by making it an institutional priority and incentivising ethical behaviour

Moderator:
Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS (USA)

Presenters:
John Cusack, Co-Chair Wolfsberg Group, FCC Advisor, Standard Chartered (UK)
Stephan Wilken, Head of Anti-Financial Crime and Group Anti-Money Laundering Officer, Deutsche Bank (Germany)
Markus Schulz, Global Head FCC Controls, Group Financial Crime Compliance, Standard Chartered Bank (UK)

10:45 – 11:45 CONCURRENT SESSION 1 C01
The New Compliance Professional
- Hiring the right talent for the current compliance landscape by specifying new skill sets, including an understanding of data and IT infrastructure
- Developing training programs to promote tech literacy in wake of innovations such as FinTech, virtual currencies and digital identification
- Keeping top talent by developing a blend of tangible and intangible incentive perks such as compensation plus corporate culture and advancement opportunities

Moderator:
David A. Clark, CAMS, Head of FCC Learning & Surveillance Analysis, Standard Chartered Bank (UK)

Presenters:
Dan Benisty, Head of Compliance Western-Northern Europe, Western Union (France)
Yaron Hazan, Financial Crimes Solution Subject Matter Expert, ThetaRay (Israel)
Elizabeth Henry, CAMS, CRCM, LCOI, Head of Financial Crime Compliance & MLRO, HSBC (Ireland)
Ole Kirk, AML Officer, Arbejdernes Landsbank (Denmark)

10:45 – 11:30 CONCURRENT SESSION 2 B05-06
AFC Knowledge Session
Presented by Oracle

Turbocharging Financial Crime Investigations with Automated Case Decisioning
- Learning why a graph or a network is a natural, intuitive and expressive way to represent a case or investigation
- Increasing investigator productivity with visual exploration and navigation of the case graph
- Boosting investigation effectiveness with automated case decisioning powered by Deep Learning (AI) on Case Graphs

Moderator:
Yuri Broodman, CAMS, European Head of AML, Ant Financial (Luxembourg)

Presenters:
Matthew Long, Director, Financial Crime & Compliance Solution Consulting, Oracle (Luxembourg)
Scott Samme, Partner, PwC (UK)

10:15 – 10:45 NETWORKING BREAK B01-02
10:45 – 11:15 CONCURRENT SESSION 3  B07-08

AFC Innovation Sessions
Presented by KYC Portal

Risk-based Approach: The Core of Lifetime Due Diligence

- Managing the FATF and regulatory requirements of the Risk-Based Approach
- Streamlining and auditing client communication throughout on-boarding and ongoing KYC processes
- Automating ongoing obligations whilst reducing your risk exposure

Presenters:
Kristoff Zammit Ciantar, Chief Executive Officer, KYC Portal (Malta)
Alexandra Scicluna, Head of Compliance, KYC Portal (Malta)

11:15 – 11:45 CONCURRENT SESSION 4  B07-08

AFC Innovation Sessions
Presented by RDC

Adverse Media Screening: The Shift Towards Intelligent Solutions

- Evaluating the importance of adverse media for KYC alongside common barriers to success such as information overload and false positives
- Exploring the impact technology and artificial intelligence capabilities are having and how they work
- Reviewing the deployment of advanced negative news screening at a major European financial institution

Presenter:
Jeff Sidell, Chief Technology Officer, RDC (USA)

12:15 – 13:15 CONCURRENT SESSION 1  C01

Risky Business: Managing High-Risk Clients

- Effectively implementing financial inclusion programs while meeting regulatory requirements
- Using CDD to determine when EDD is required based on factors such as geographical risks, entity client base, ownership structure and transaction activity patterns
- Working with senior management to regularly review risk profile changes and make account exiting decisions when necessary

Moderator:
Cristina C Fleckenstein, CAMS, Compliance AML Officer, Credit Suisse (Switzerland)

Presenters:
Lene Bøltzarsen, Head of Quality Management, Nordea Asset Management (Denmark)
Gregory Dellas, CAMS, Director Wealth & Markets Division, Bank of Cyprus (Cyprus)
Jennifer Hanley-Giersch, CAMS, CFE, CFCS, Managing Partner, Berlin Risk Ltd. (Germany)
Clementine Roos, Senior Compliance Officer, TMF Netherlands B.V. (Netherlands)

12:15 – 13:00 CONCURRENT SESSION 2  B05-06

AFC Knowledge Session
Presented by BVD

Combating Financial Crime with the Right Blend of Data and RegTech

- Uncovering the data challenges posed by AMLS: are UBO registers enough for AML due diligence?
- Understanding the implications for the in-house due diligence function: the role of structured data and RegTech
- Unlocking your in-house capability: how to get the most of RegTech by bringing structured data into the risk model

Moderator:
Nicholas Joseph, Director, Head of Client Intelligence Unit, Deutsche Bank (UK)

Presenter:
Ted Datta, GRC Solutions Director, Bureau van Dijk, a Moody’s Analytics Company (UK)

11:45 – 12:15 NETWORKING BREAK  B01-02
12:15 – 12:45 CONCURRENT SESSIONS 3  B07-08

AFC Innovations
Presented by SAS Fraud & Security Intelligence

The Latest Technology Trends: Compliance in 2019

- Learn why using technology and AI is inevitable in 2019 and how it can enhance compliance teams’ work dramatically
- Get first hand tips on how to set up tools and newest algorithms in line with latest regulations
- Hear how other organisations have successfully used the latest analytical techniques to detect more financial crime

Presenters:
Christopher Ghenne, Global Lead Banking Compliance Solutions, SAS (Belgium)
Eric Malherbe, Europe AML Customer Advisor, SAS (Belgium)

13:00 – 14:15 NETWORKING LUNCH  A01-06

14:15 – 15:15 CONCURRENT SESSION 1  C01

Examining Recent Enforcement Actions for Critical Lessons in Transparency and Collaboration

- Analysing recent prosecutions and penalties imposed on European financial institutions for non-compliance, including willful violations and concealment of sanctions laws and the Foreign Corrupt Practices Act
- Reviewing the flow of billions of dollars in suspicious payments from Russia, Azerbaijan and Moldova through Estonia into the US and the institutional and personal liability consequences of negligent AML oversight
- Studying the ABLV Bank of Latvia case for lessons learned on FinCEN’s extra-territorial reach, the consequences of impeding examinations, obstructing regulators and the need for rigorous oversight of correspondent banking and geopolitical risks

Moderator:
Alma Angotti, Managing Director and Co-Head of Global Investigations and Compliance, Navigant (UK)

Presenters:
Anna Bradshaw, Partner, Peters & Peters (UK)
Dr. Stephan Niermann, Senior Compliance/AFC Executive (Germany)
Dr. Hans-Jakob Schindler, Senior Director, Counter Extremism Project (Germany)
Maija Treija, CAMS, Director, Compliance and Control Department, Financial and Capital Market Commission (Latvia)

14:15 – 14:45 CONCURRENT SESSION 3  B07-08

AFC Knowledge Session
Presented by Featurespace

AML Transaction Monitoring Rediscovered: The Art of Predicting

- Understand the value of focusing on good customer behaviour vs. bad with real-time machine learning
- Discover how a Top Tier Global Bank used Adaptive Behavioral Analytics to spot more suspicious activity
- Learn how to supercharge your own AML transaction monitoring programme with machine learning

Presenters:
Dr. Karthik Tadinada, Director of Data Science, Featurespace (UK)
Mark Turkington, Director, Financial Crime Threat Mitigation, HSBC (UK)

14:15 – 15:00 CONCURRENT SESSION 2  B05-06

AFC Knowledge Session
Presented by Accenture

AML Sharing Models – It Is All About Getting the Right Data

- Building strategic and transformative AML capabilities across bank functions
- Assembling a bank-wide data platform with the relevant data
- Leveraging data driven aspects of AML to achieve operational excellence

Moderator:
Tatiana Turculet, Compliance Officer, European Investment Fund (Luxembourg)

Presenters:
Isabelle Flückiger, Managing Director, Accenture (Switzerland)
Hanjo Seibert, Managing Director, Compliance Practice Lead, Accenture (Switzerland)

15:15 – 15:45 NETWORKING BREAK  B01-02
15:45 – 16:30  PLENARY SESSION  C01

**Keys to Compliance Success: Information Sharing and Collaboration with Law Enforcement**

- Crafting institutional protocols for collaborating with law enforcement to leverage investigative resources and detect and resolve red flags of illicit money flows
- Analysing information-sharing initiatives such as JMLIT to assess legal, structural and governance issues for joint anti-financial crime public-private partnerships
- Examining outcomes of European information sharing efforts such as KYC utilities and global efforts including FMLIT in Hong Kong to identify best practices, lessons learned and identify opportunities for systemic strengthening

**Moderator:**
Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS (France)

**Presenters:**
Robert Evans, Director, FINTRAIL (UK)
Elena Scherschneva, Former Head of FIU Austria, FATF Member of Delegation (Austria)
Daniel Thelesklaf, Chair, Moneyval (Liechtenstein)
Mark Turkington, Director, Financial Crime Threat Mitigation, HSBC (UK)

16:30 – 16:35  PLENARY SESSION  C01

Closing Remarks and Conference Takeaways