



AML and OFAC Symposium – Technology and Hot Topics

Wednesday, July 17, 2019

Diamond Sponsor:



THOMSON REUTERS®

Gold Sponsors:



AGENDA

- 7:30 AM - 8:00 AM Registration
- 8:00 AM – 8:40 AM Networking Breakfast
- 8:40 AM – 9:00 AM Opening Remarks: Dan Soto, Ally Financial
Sponsor Welcome: Marc Mehlman, Thomson Reuters
- 9:00 AM – 10:00 AM Fireside Chat with Keynote Speaker: Eric B. Lorber, Director at the Financial Integrity Network
- 10:00 AM – 10:15 AM Break
- 10:15 AM – 11:45 AM **Using Technology to Improve the Quality of your AML Program:** Panelists discuss practical examples of technology enhancing the effectiveness and efficiency of KYC, Alerts and Investigations operations.
- Moderator: Peter Wild, Wild AML Solutions LLC
 - Erick Christensen, Protiviti
 - Brian Ferro, BAE Systems
 - Kamil Kaluza, Quantaverse
 - Dan Soto, Ally Financial
- 11:45 AM – 1:15 PM Networking Lunch
Sponsored by Thomson Reuters
- 1:15 PM – 2:30 PM Hot Topics Session 1 – Choose Between:
- Session A: Proposed AML Changes, Marijuana Banking and Hemp: Are AML regulatory changes likely to see progress in Washington?
- Moderator: Jim Arndts, Wells Fargo N.A.
 - David B. Chenkin, Esq., Zeichner, Ellman & Krause LLP
 - Dan Stipano, Buckley Sandler LLP
- Session B: Using Negative Media & Other Insights to Strengthen Your CDD/EDD Program: Our panel will explore the state of negative media practices and what you should be thinking about.
- Moderator: Megan Hodge, Ally Financial
 - Joyce Broome, Ally Financial
 - John Dunning, US Bank
 - Megan Nelson, BB&T
 - Dan Wager, Lexis Nexis
- 2:30 PM – 2:45 PM Break
- 2:45 PM – 4:00 PM Hot Topics Session 2 – Choose Between:
- Session A: Written in the SARs - Effective SAR Narratives: Over 2,171,173 suspicious activity reports were filed in 2018. In this session we'll hear from law enforcement and industry experts on the do's and don'ts of SAR narratives.
- Moderator: Heather Allen, Peoples Bank
 - Jeremi Blankley, Dept of Homeland Security
 - Brian Frankel, Kaufman Rossin
 - Laura Marshall, Hunton Andrews Kurth LLP
 - Laura Yandle, Bank of America
- Session B: The Fight Against the Drugs and Opioids Crisis – What Can We Do? Our speakers will provide an overview of the opioid epidemic currently facing the U.S., Carolinas region and what banks are doing to respond.
- Moderator: Nicolas Khouri, Ally Financial
 - Chris Healy, Dept of Homeland Security
 - David Marwell, US Bank
 - Joe Gallion, BB&T
- 4:00 PM – 4:15 PM Closing Remarks
- 4:15 PM – 6:00 PM Reception