A meeting of the minds: bringing government, non-governmental organizations and the private sector together
ACAMS Anti-Financial Crime and Public Policy Conference

Prudent regulations, sensible laws and robust public policies are the foundation of anti-financial crime (AFC) efforts worldwide — and that requires shared values, common strategies and mutually agreeable goals on behalf of government agencies, private institutions and committed non-governmental organizations. ACAMS’ Anti-Financial Crime and Public Policy Conference, February 6-7 in Washington, D.C., seeks to forge much-needed consensus on a myriad of AFC subjects, such as human trafficking, sanctions, financial inclusion and cybercrime. At this unique assemblage of thought leaders, drawn from government, industry, NGOs and think tanks, diverse AFC constituencies will share insights and strategies for developing informed public policies that strengthen the fight against financial crime.

Benefits of Attending

- Each topic will be presented in two formats, fireside chat and panel discussion
- Hear directly from policy makers on current and upcoming legislation on hot button issues
- Sessions will include two perspectives (policy focused and practitioner focused)
- Networking with members of Congress, lobbyists and FI professionals

What to Expect

+25 Expert Speakers
+6 Networking Hours
+14 Training Hours
Who Attends

PROFESSIONALS RESPONSIBLE FOR:

• Reporting and SAR/STR Filing
• AML Risk Management
• Customer Due Diligence
• Transaction Monitoring and System Tuning
  • AML Audits
  • AML Training
• Sanctions Management
• New Product Compliance
• Managing Third-Party Payment
  • Processors
  • Law Enforcement
• Regulatory Oversight

SECTORS/INDUSTRIES:

• Accounting Firms
• Banks
• Casinos
• Consulting Firms
• Credit Unions
• Financial Services Providers
• Insurance Companies
• Law Enforcement Agencies
  • Law Firms
• Money Services Businesses
• Regulatory Agencies
• Securities/Investment
• Software/Technology Providers
  • Universities
  • Virtual Currency

Top Sessions

HUMAN TRAFFICKING
Fireside chat with Ann Wagner on congressional efforts to combat and prevent this crime

FINANCIAL INCLUSION
Insights on challenges faced by NPOs working in at-risk jurisdictions (including war-torn territories)

MARIJUANA LAWS
The latest on current and potential legislation affecting MRBs and those doing business with them

BECOME A SPONSOR OR EXHIBITOR AT AN ACAMS EVENT

Reach your target market and enhance your brand impact. For information on advertising and sponsorship opportunities, contact sponsorsandexhibitors@acams.org.
February 5, 2020 | 9:00 AM–5:00 PM
CGSS EXAMINATION PREPARATION SEMINAR

Prepare for your Certified Global Sanctions Specialist (CGSS) certification, which will equip you with a global credential that represents a serious commitment to compliance with sanctions regulations. To be eligible for the CGSS Exam you must have 40 qualifying credits based on education, other professional certification, and/or professional experience in the sanctions or financial crime compliance field.

Visit our eligibility calculator to determine if you qualify to take the CGSS exam: http://www.acams.org/cgss-eligibility.

Continuing Education Credits

ACAMS members can receive up to 15 ACAMS credits for attending this event: 9 credits for the main conference and 6 credits for the examination preparation seminar.

MAIN CONFERENCE: 9 ACAMS CREDITS | CGSS SEMINAR: 6 CREDITS

Pre-Conference Agenda

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<th>Wednesday, February 5, 2020</th>
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<tr>
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Friday, February 7, 2020
MAIN CONFERENCE DAY TWO

8:00 AM – 12:40 PM
Registration Open

8:00 AM – 11:35 AM
Exhibit Area Open

8:00 AM – 9:00 AM
*Networking Continental Breakfast in Exhibit Area*

8:00 AM – 9:00 AM
ACAMS Chapters Breakfast (*By invitation only*)

9:00 AM – 9:05 AM
Opening Remarks

9:05 AM – 10:05 AM
Blunt Talk: Keeping up with the Latest Marijuana Laws

10:05 AM – 11:05 AM
Banking the Unbanked: Overcoming the Challenges of Financial Inclusion

11:05 AM – 11:35 AM
*Networking Break in Exhibit Area*

11:35 AM – 12:35 PM
Evaluating Public Policy Antidotes to Combat the Fentanyl Crisis

12:35 PM – 12:40 PM
Closing Remarks
MAIN CONFERENCE DAY ONE
FEBRUARY 6, 2020

9:30 AM – 10:30 AM
Extremely Wicked, Shockingly Evil and Vile: Human Trafficking in the US

- Reviewing current legislation to stop and prevent the recruitment and abuse of minors in sex trafficking operations as seen in the Jeffrey Epstein case
- Utilizing the latest data analytics technology to detect and investigate red flags such as use of funnel accounts and structured cash deposits related to human trafficking
- Analyzing regulatory momentum and trends to protect prostitution victims and prosecute their handlers
- Creating public policies to combat forced labor trafficking by targeting at-risk industries such as agriculture, vulnerable populations such as domestic staff and imported goods produced by child labor

11:25 AM – 12:25 PM
A 20/20 View on 2020’s Legislative and Regulatory Outlook

Do you have questions? Well we have answers. ACAMS holds its first policy summit with a compelling forum on the important lessons from the last Congressional session and how best to prepare for the new session. This interactive session utilizes a question-and-answer format to ensure issues such as new regulations, evolving risks, investigative priorities and special considerations for in-House professionals are heard and analyzed in an authoritative manner, providing in-sights into where things stand -- and where they’re headed.

2:10 PM – 3:10 PM
A Deep Dive into the Complexities of Economic Sanctions Policy

- Understanding the growing role of Congress on sanctions, including congressional priorities and areas of focus, including Russian sanctions
- Studying OFAC’s guidance on the latest sanctions evasion trends, such as illegal schemes involving bartering and buying cryptocurrency
- Analyzing key issues in the Export Control Reform Act of 2018 and its impact on US and EU sanctions compliance programs such as the release of critical technology and dual use goods

3:55 PM – 4:55 PM
Bridging Digital Divides: FinTech/RegTech and Public Policy Bottlenecks

- Outlining growing public policy challenges of FinTech/RegTech such as blockchain innovations, data governance and development of sector-wide standards and practices
- Examining FinTech oversight issues such as regulatory discordance and unique risks of digital banking models to detail evolving public policy challenges and potential solutions
- Assessing public policy role in optimizing RegTech’s potential strengths such as digital linking of regulations, operations and supervisory/reporting obligations
MAIN CONFERENCE DAY TWO
FEBRUARY 7, 2020

9:05 AM – 10:05 AM
Blunt Talk: Keeping up with the Latest Marijuana Laws
• Analyzing FinCEN guidance on marijuana-related businesses to detail state/federal policy conflicts and reconciliation proposals such as the SAFE Banking and the STATES acts
• Assessing the proposed Marijuana Opportunity and Reinvestment Act, to clarify public policy ramifications of removing cannabis from Schedule I of the Controlled Substances Act
• Surveying global policy discord such as CBD oil and medical vs. recreational marijuana to analyze risks such as commingled funds and prohibited cross-border transfers

10:05 AM – 11:05 AM
Banking the Unbanked: Overcoming the Challenges of Financial Inclusion
• Analyzing the latest regulatory guidance on banking not-for-profits (NPOs) operating in territories recognized as having lax anti-money laundering standards and insufficient regulatory supervision
• Mitigating the compliance risks of correspondent banking relationships with financial institutions in high-risk jurisdictions by balancing risk appetite with tolerance standards
• Developing regulatory infrastructure to aid financial inclusion -- and maintain systemic AML integrity -- with FinTech innovations such as digital KYC, e-wallets and mobile banking

11:35 AM – 12:35 PM
Evaluating Public Policy Antidotes to Combat the Fentanyl Crisis
• Contrasting fentanyl trafficking vs. traditional cartels, such as supplier-driven demand creation, Darknet and cryptocurrency transactions and smaller criminal organizations
• Analyzing research by RAND Corp. and others to explore public policy options including disrupting online sales, expanded naloxone access and supervised drug consumption
• Assessing status of US initiatives to disrupt fentanyl flows from Mexico and China and reviewing cross-jurisdictional challenges such as shipping via mail and express couriers
• Examining FinCEN’s fentanyl advisory to identify financial typologies including low-value direct sales, use of front companies and acting as unlicensed money transmitter to exchange virtual currency
ACAMS®

CONFERENCE SPEAKERS

Raul Aguilar
Division Chief, Transnational Organized Crime Division
Homeland Security Investigations

Roy Austin, CAMS
Partner, Harris, Wiltshire & Grannis LLP

Kieran Beer, CAMS
Chief Analyst and Director of Editorial Content
ACAMS

John J. Byrne, Esq., CAMS
Vice Chairman
AML RightSource

James Cockayne
Head of Secretariat
Finance Against Slavery and Trafficking

Emil Dall
Research Fellow, Centre for Financial Crime & Security Studies
Royal United Service Institute (RUSI)

Jennifer Fowler
Director
Brunswick Group

Andrea Hall
Policy Counsel
Charity and Security Network

Megan Hodge, CAMS
Executive Compliance Director, BSA/AML Officer
Ally Bank

Jane Khodarkovsky
Human Trafficking Finance Specialist,
Money Laundering and Asset Recovery Section (MLARS)
U.S. Department of Justice

Lauren Kohr, CAMS-FCI
Senior Vice President, Chief Risk Officer
Old Dominion National Bank

Fred Niehaus
Chair of the Policy Center
Public Health & Safety

Brian O’Toole, CAMS
Senior Vice President, Director of Sanctions and Screening,
Truist Financial Corporation

Holly Sais Phillippi
Partner and Market Development Director
Refinitiv

Sarah Runge
Global Head, FCC Regulatory Strategy
Credit Suisse

Scott Rembrandt
Deputy Assistant Secretary for Strategic Policy
Department of Treasury
CONFERENCE SPEAKERS

Sundie Seefried
Chief Executive Officer
Partner Colorado Credit Union

Amit Sharma
Chief Executive Officer
FinClusive

Jaqueline Shire
ISIL/Al-Qaeda/Taliban Monitoring team, UN Sanctions

Kenneth Simmons, CAMS-Audit
CAMS-FCI
Executive Vice President and Chief Compliance Officer
Origin Bank

Rick A. Small, CAMS
Executive Vice President, Director Financial Crimes Program
Truist

Carl Stinebower
Partner
Winston and Strawn, LLP

Dan Stipano
Partner
Buckley LLP

Angel Nguyen Swift, CAMS
Vice-President
Compliance and Financial Crimes Solutions
Enigma

Rep. Ann Wagner (R-MO)
House Committee on Financial Services
– Subcommittee on Diversity and Inclusion Ranking Member
Member of the congressional Human Trafficking Caucus, U.S. House of Representatives

Justine Walker
Head of Global Sanctions and Risk
ACAMS

John Roth
Chief Compliance and Ethics Officer
Bittrex

Dennis M. Lormel
President and Chief Executive Officer
DML Associates, LLC
EXHIBITOR
CipherTrace develops cryptocurrency Anti-Money Laundering, bitcoin forensic and blockchain threat intelligence solutions. CipherTrace was founded in 2015 by experienced Silicon Valley entrepreneurs with deep expertise in cybersecurity, eCrime, payments, banking, encryption, and virtual currencies. DHS S&T and DARPA initially funded CipherTrace, and it is backed by leading venture capital investors.

EXHIBITOR | PLATINUM SPONSOR
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For 90 years, SIX has provided the financial data and services the industry needs: high-quality data, delivered at the right time, in the right way. From our core reference data on securities, prices, corporate events, tax and regulatory data, to our flagship indices and bespoke benchmarks, SIX offers added-value services that smoothly integrate with the workflows of all market professionals. SIX makes high-quality financial information the competitive advantage that takes businesses to the next level.

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For more than 160 years, Winston & Strawn LLP has served as a trusted adviser and advocate for clients across virtually every industry. In that time, through careful growth and thoughtful fiscal management, we have built a law practice with tremendous breadth and a global reach. We are proud of the many accolades we have received over the years—a tribute to our lawyers' creativity, flexibility, depth of experience, and commitment. The most meaningful accolade to us, though, is the continued trust and confidence of our clients.
A block of rooms at the Capital Hilton has been reserved at a special rate for this event. The last day to reserve rooms at the group rate is January 10, 2020, or until the room block is sold out. Due to the high demand for rooms, we recommend that you book your room reservation as early as possible.

ACAMSConferences.org/Washington

Main Conference Pricing and Offers

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Special rates available for Government officials and groups of 3 or more. Special rates may be available for Enterprise Members. Please contact salesrequests@acams.org to register.